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**Professor of Oncology, Mimi Althainz' donation**  
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**Sweden, Co-Chair EBCTCG, Vice- Chair SAG, EMA**

# Evidence- guideline based strategies for the early- and metastatic breast cancer setting



JB Tallin November 21, 2014

# Fundamental breast cancer questions

Prevention

-Interplay life style factors & genes

Early diagnosis

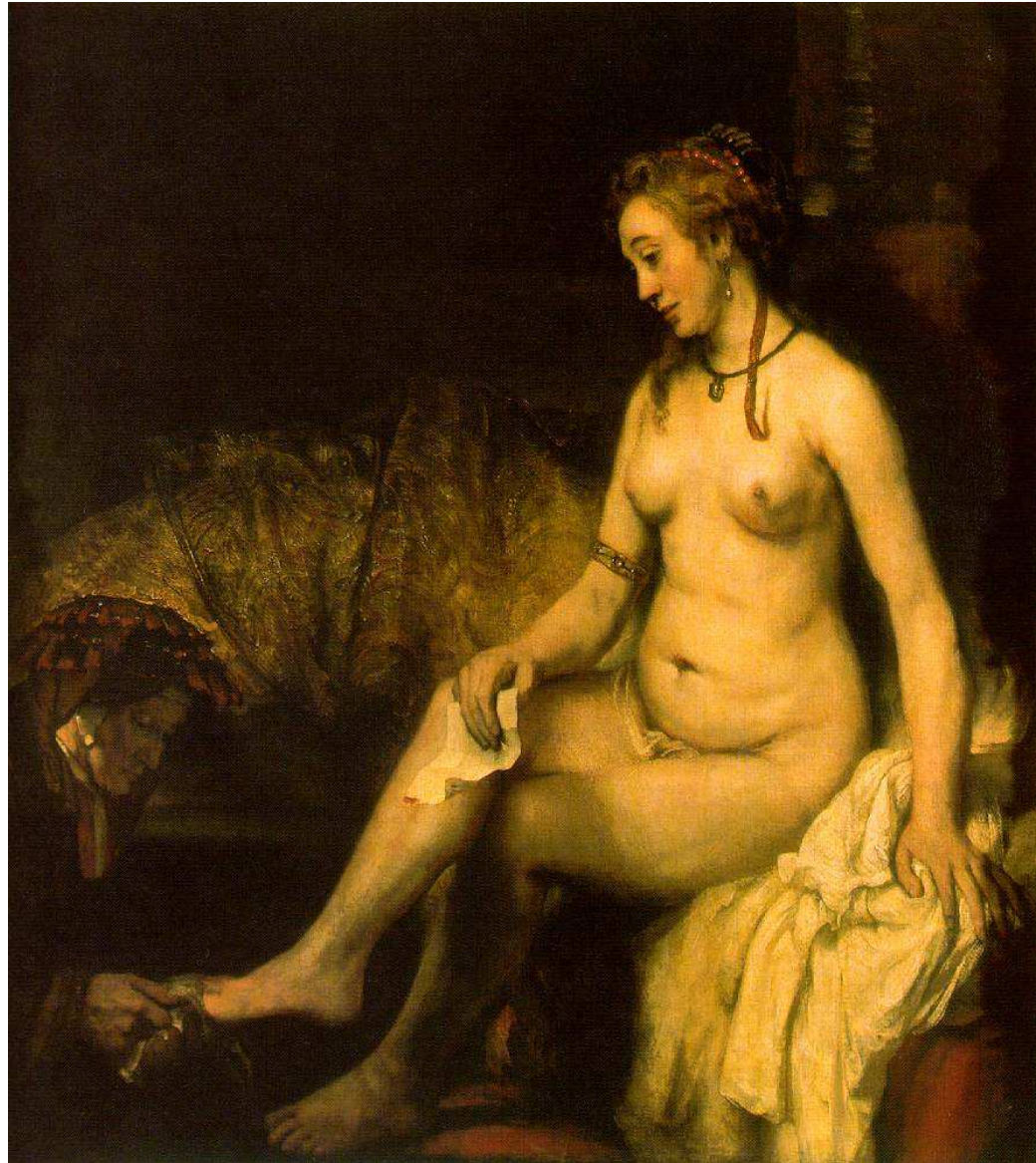
Which pts will only need loco-regional therapy?

To whom addition of systemic therapies? Avoiding both over & under treatment

Therapy prediction-How to be more rational in drug selections?

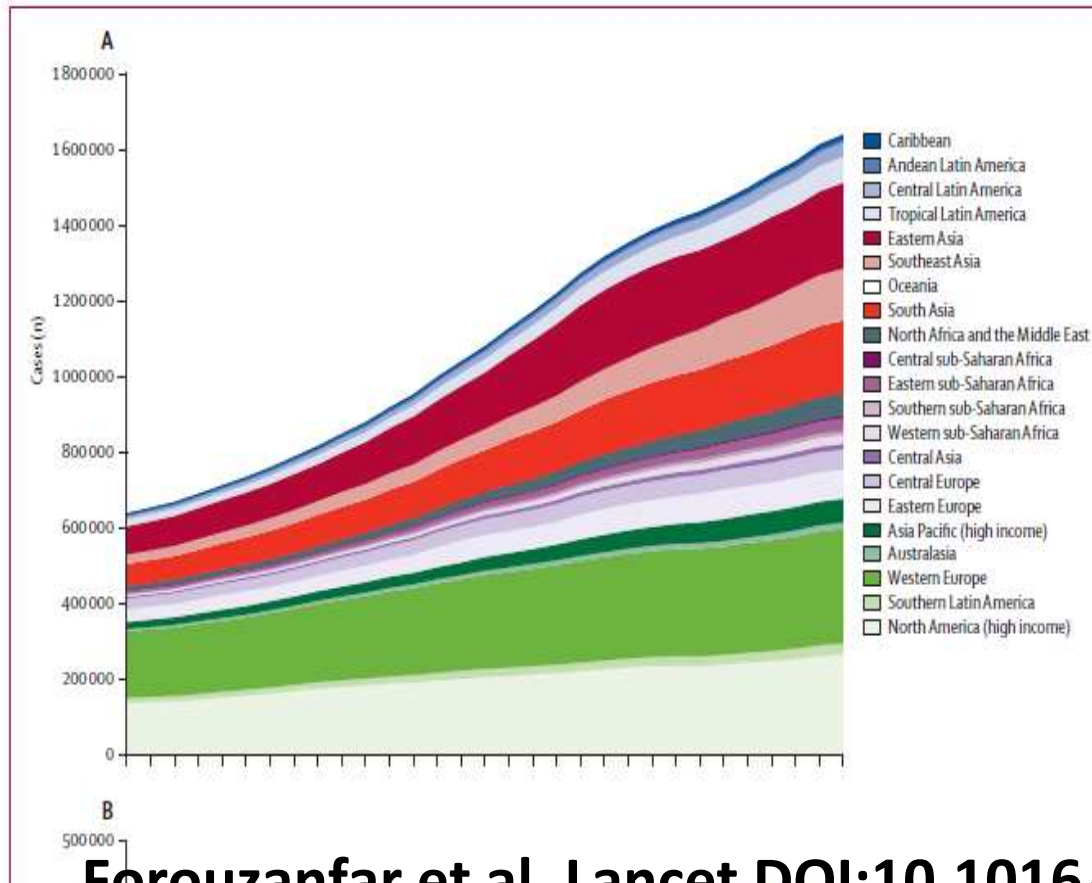
Understand breast cancer biology – growth & met regulatory genes –proteins & stem cells

Macrometastatic disease



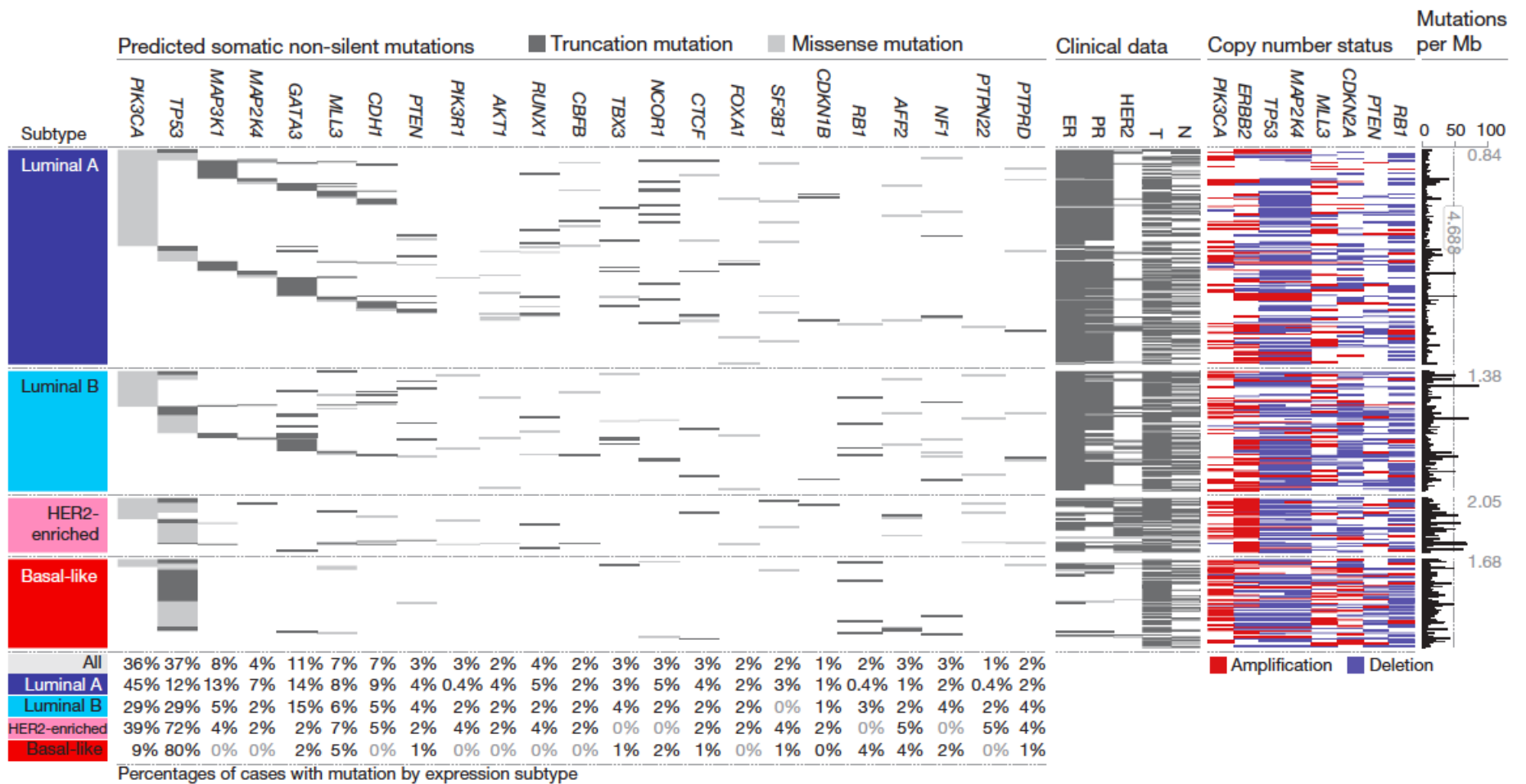
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## Breast cancer incidence 1980-2010 per region



**Forouzanfar et al. Lancet DOI:10.1016/S0140-6736(11)61351-2, 2011**

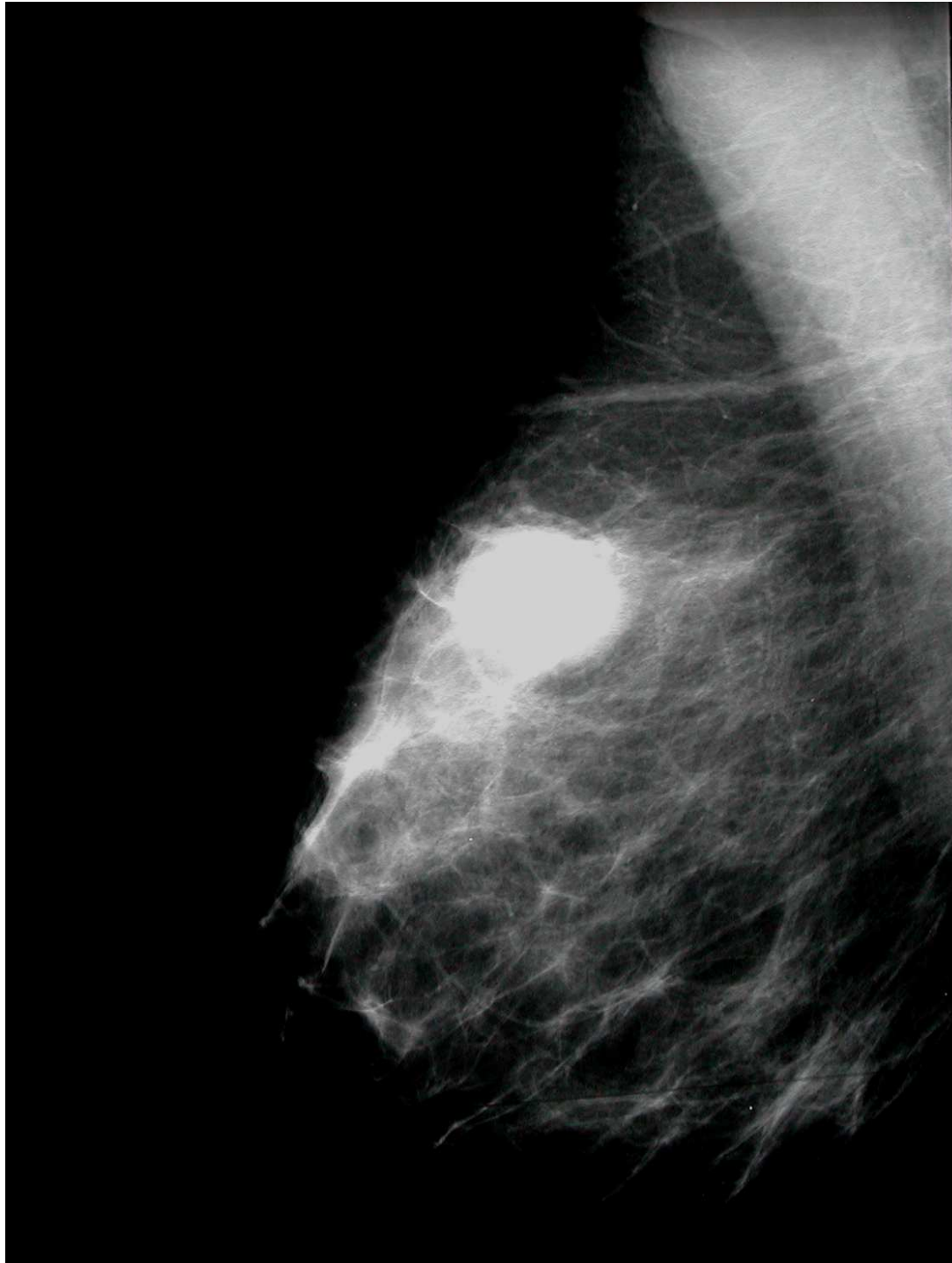
**First- Breast cancer is to be  
split into several clinically  
relevant entities**



# NEOADJUVANT THERAPY

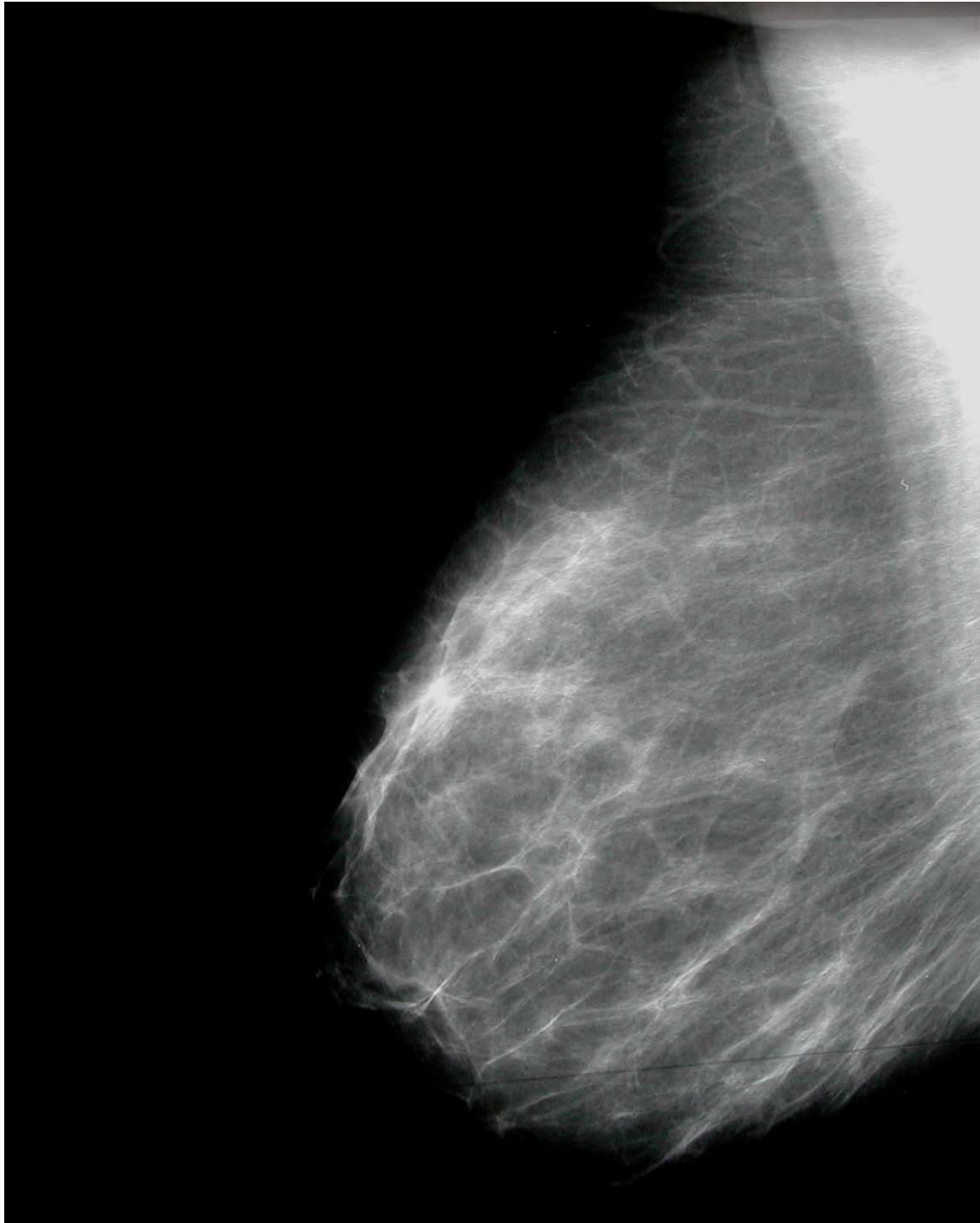
**B. 45 year old  
female**

**Slides from  
assoc. Prof  
Edward  
Azavedo**



**Neoadjuvant  
therapy results  
in similar  
survival  
expectations as  
adjuvant  
therapy, 5500  
pts-14 studies  
(Cochrane  
Review, 2007)  
Mieog JSD, van  
der Hage JA,  
van de Velde  
CJH)**

**Gepartrio-  
Swicth strategy  
– DFS  
improvements  
Von Minckwiz  
et al, JCO on  
line 2013**



**After 4  
courses of  
tFEC, sector  
resection  
Histological  
CR, both in  
breast &  
axillary nodes  
(29/1-02-conf.  
Rah)**

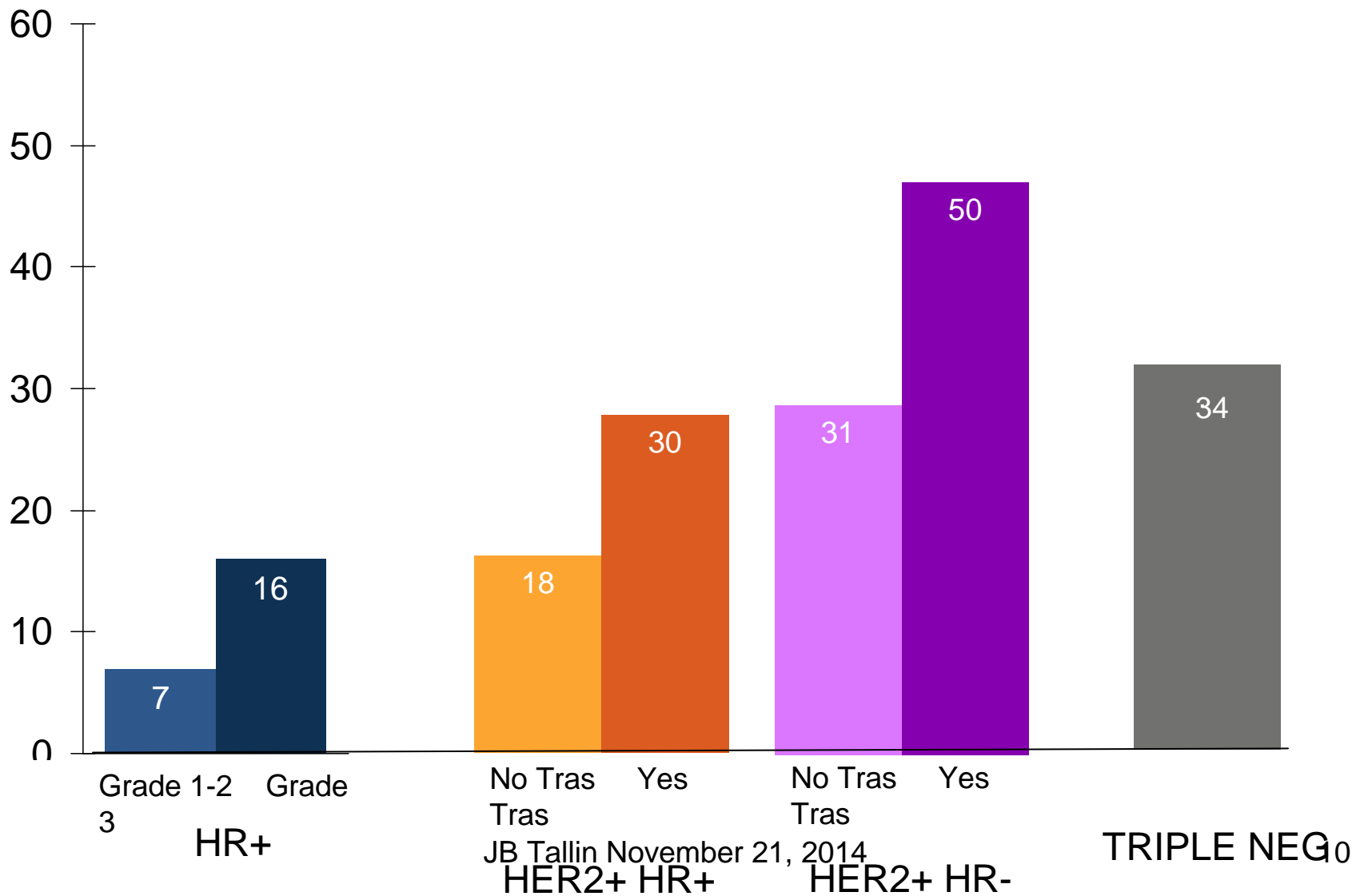


## CTNeoBC Selected Trials

- 12 neoadjuvant randomized controlled trials
- pCR clearly defined with all necessary data collected
- Long-term follow-up EFS and OS data collected

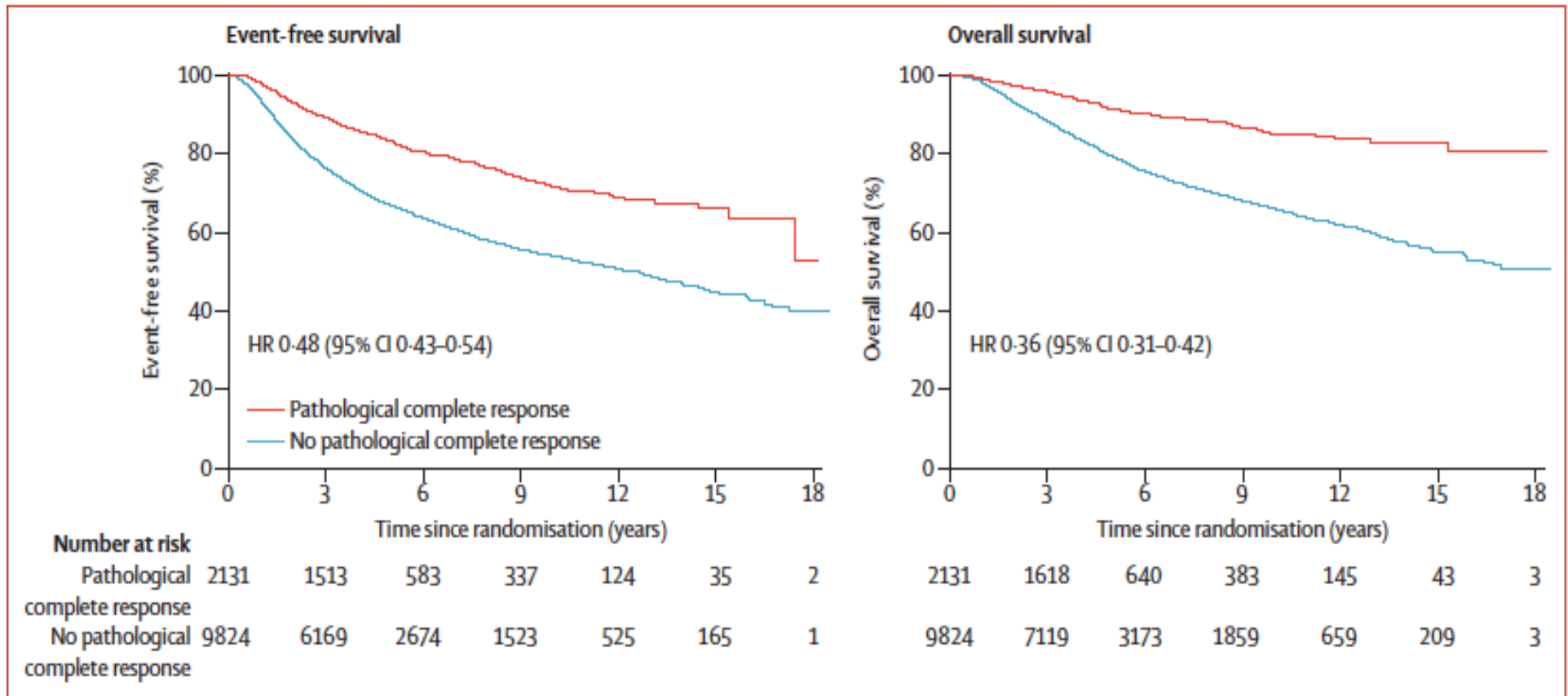
TRIALS	Patients (n)
GBG/AGO: 7	6377
NSABP: 2	3171
EORTC/BIG: 1	1856
ITA: 2	1589
Total # patients	12993

# pCR Rates by Tumor Subtypes



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# Importance of pCR for prognostication



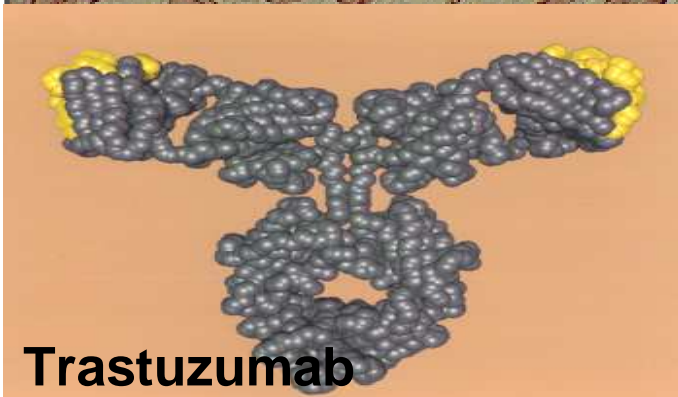
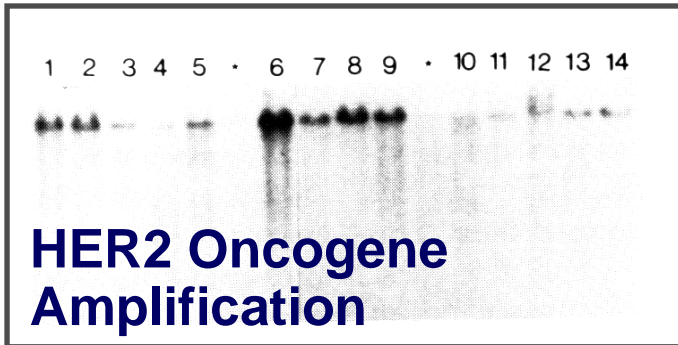
Cortozar et al, Lancet 2014

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# HER-2/neu positive breast cancers

Breast Cancer

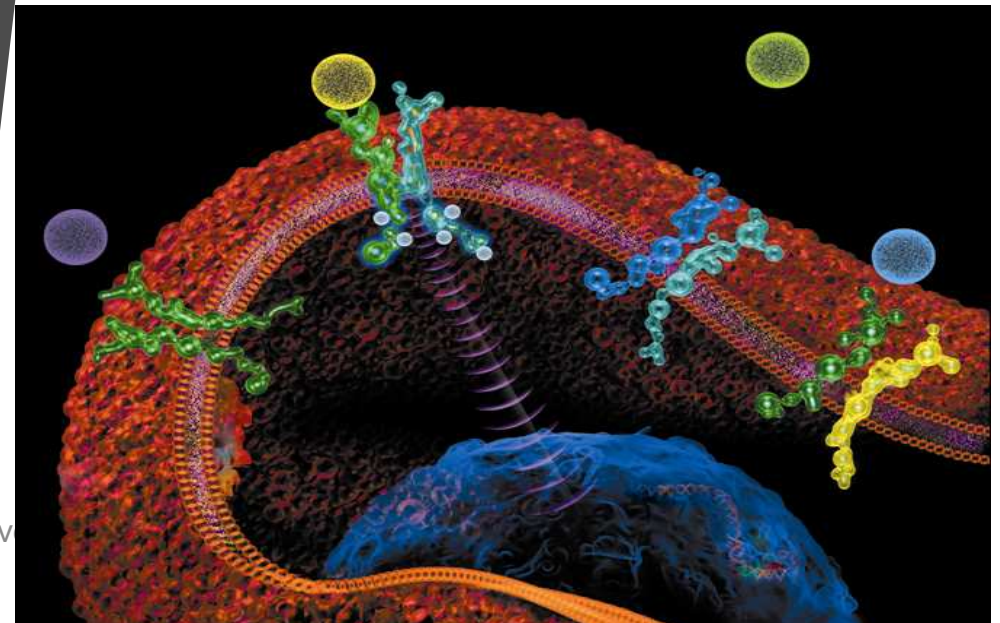
From Slamon et al, **Science 1987**



## Shortened Median Survival

HER2 overexpressing  
HER2 normal

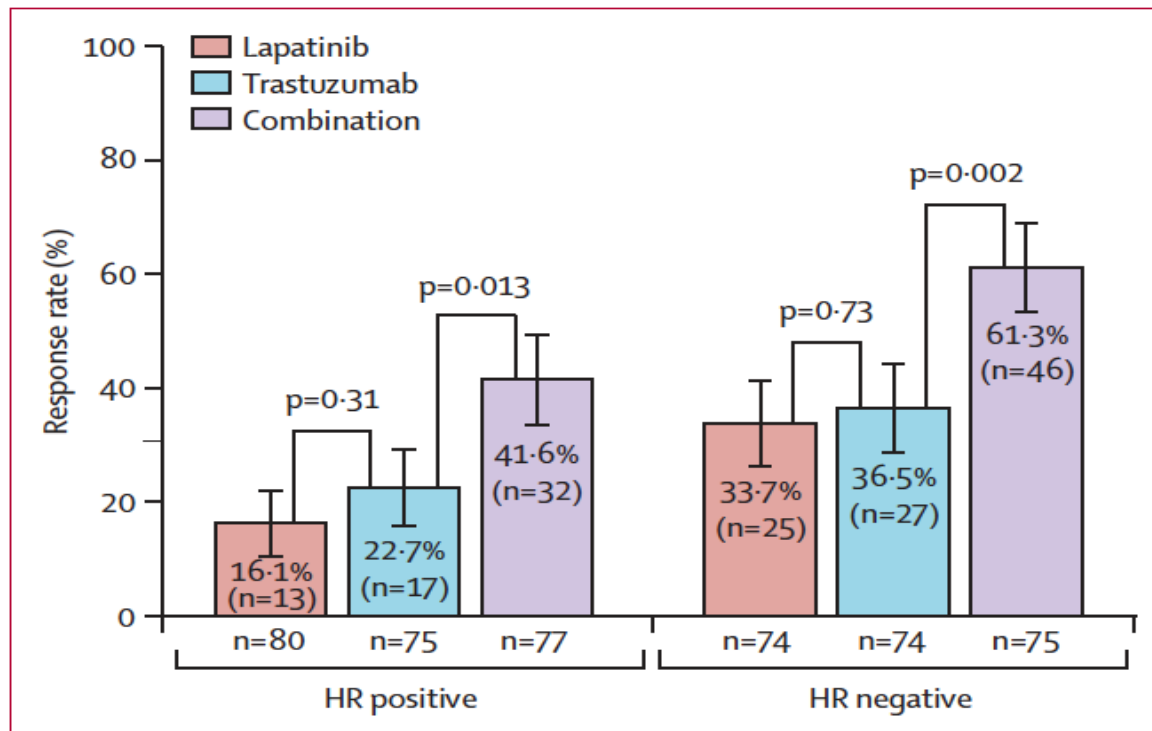
3 yrs  
6 - 7 yrs



Neoadjuvant – pre operative  
therapy

Single vs. double blockade –  
backbone of CT

# Six weeks of lapatinib +-trastuzumab – Twelve weeks of of paclitaxel Neoalto



# Trastuzumab +/- pertuzumab +/- docetaxel NEOSPHERE

	Trastuzumab plus docetaxel (group A; n=107)	Pertuzumab, trastuzumab, and docetaxel (group B; n=107)	Pertuzumab plus trastuzumab (group C; n=107)	Pertuzumab plus docetaxel (group D; n=96)
Pathological complete response in ITT population	31 (29.0%, 20.6-38.5)	49 (45.8%, 36.1-55.1)*	18 (16.8%, 10.3-25.3)†	23 (24.0%, 15.8-33.7)‡
Pathological complete response and N- at surgery	23 (21.5%, 14.1-30.5)	42 (39.3%, 30.0-49.2)	12 (11.2%, 5.9-18.8)	17 (17.7%, 10.7-26.8)
Pathological complete response and N+ at surgery	8 (7.5%, 3.3-14.2)	7 (6.5%, 2.7-13.0)	6 (5.6%, 2.1-11.8)	6 (6.3%, 2.3-13.1)
Pathological complete response in ER positive or PR positive, or both, women	10/50 (20.0%, 10.0-33.7)	13/50 (26.0%, 14.6-40.3)	3/51 (5.9%, 1.2-16.2)	8/46 (17.4%, 7.8-31.4)
Pathological complete response in ER negative and PR negative women	21/57 (36.8%, 24.4-50.7)	36/57 (63.2%, 49.3-75.6)	15/55 (27.3%, 16.1-41.0)	15/50 (30.0%, 17.9-44.6)

Data are n (%; 95% CI) or n/N (%; 95% CI). ITT=intention-to-treat. N-=lymph-node negative. N+=lymph-node positive. ER=oesrogen receptor. PR=progesterone receptor. \*p=0.0141 vs group A. †p=0.0198 vs group A. ‡p=0.003 vs group B.

**Table 2: Pathological complete responses in the ITT population, by hormone-receptor status, and by axillary lymph node status at surgery**

Gianni et al, Lancet Oncol 2012

# Adjuvant endocrine therapy, five years of tamoxifen is still a good standard

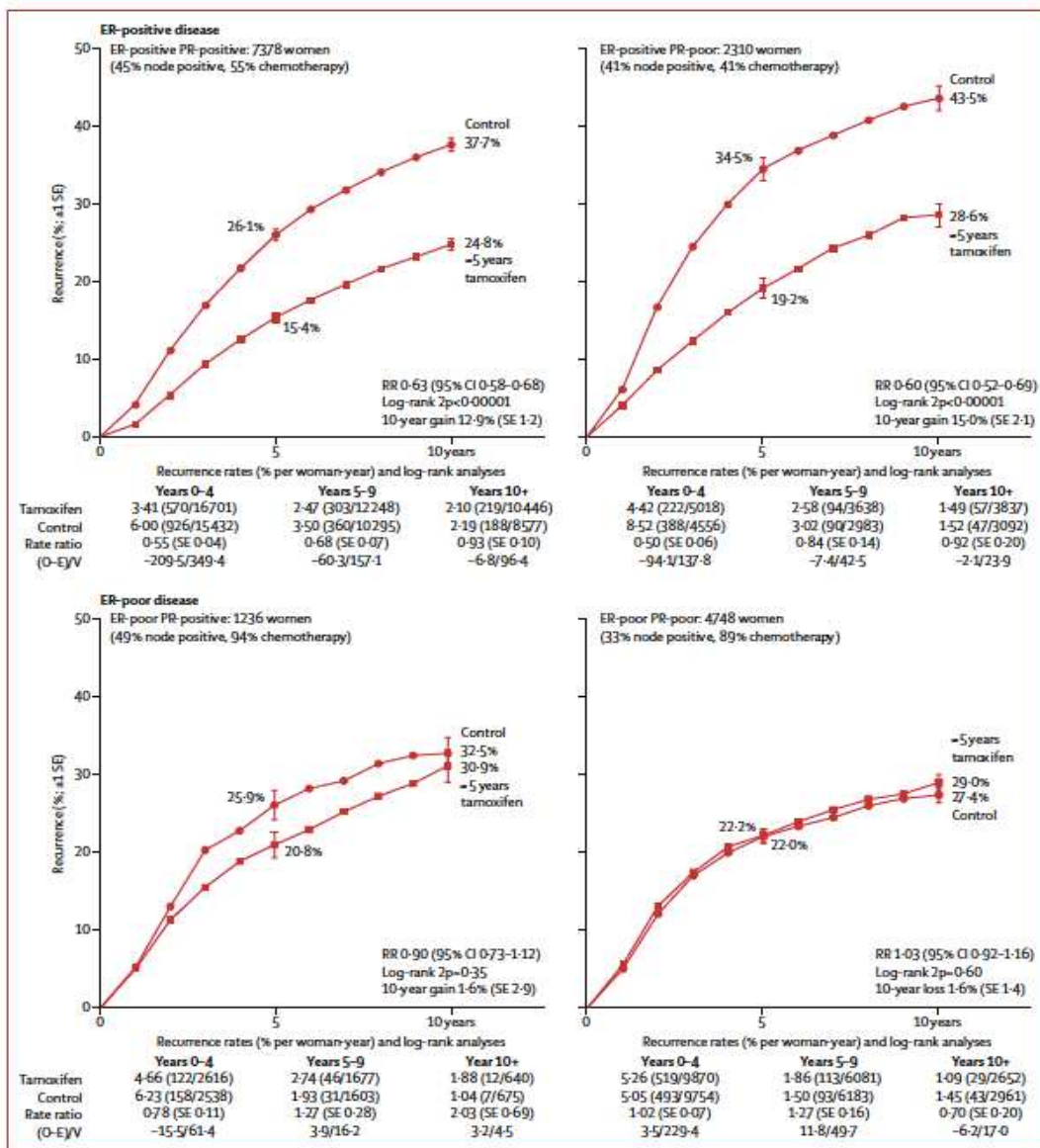


Figure 1: Relevance of measured ER and PR status to the effects of about 5 years of tamoxifen on the 10-year probability of recurrence. Outcome by allocated treatment in trials of about 5 years of adjuvant tamoxifen. Event rate ratio (RR) is from summed log-rank statistics for all time periods. Gain

EBCTCG, Lancet  
Published Online  
July 29, 2011



**20,187 women with ER-positive or ER-unknown disease randomised in 5 trials of 10 vs 5 years of tamoxifen:**

<b>ECOG, Scottish &amp; NSABP B-14</b>	<b>1,588</b>
<b>ATLAS*</b>	<b>11,646</b>
<b>aTTom</b>	<b>6,953</b>
<hr/>	
<b>ALL TRIALS</b>	<b>20,187</b>

\*ATLAS, *Lancet* 2013; 381: 805-16 Slide from Richard Gray, EBCTCG, 17  
UB Fall - November 21, 2014  
CTSU

# Adjuvant AI vs Tam

## Five years of an AI – improves RFS by 4%

A new update with > 30,000 pts is presently analysed

Dowsett et al,  
J Clin Oncol  
28:509-518.  
© 2009

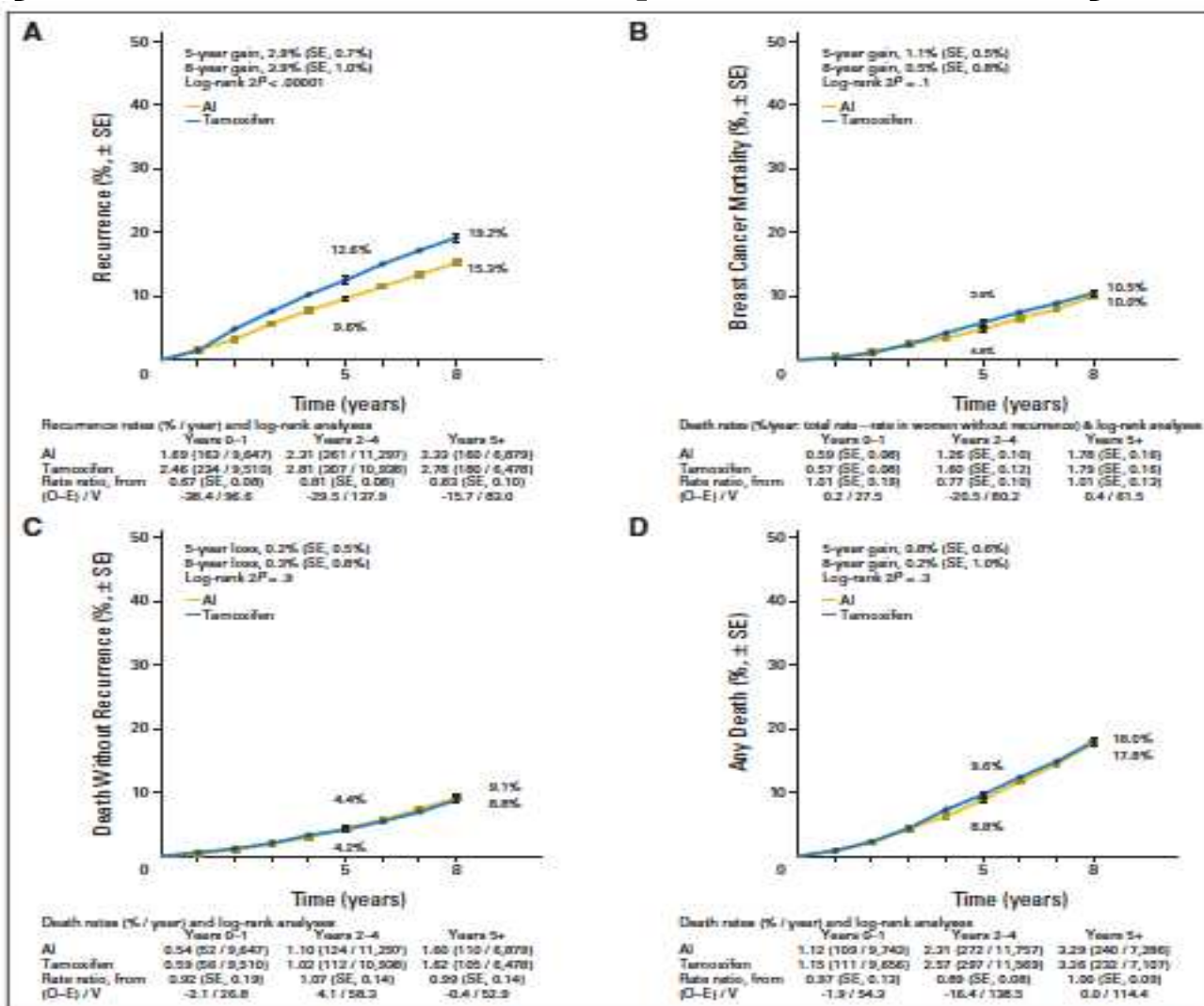


Fig 1. Life-table curves of (A) recurrence; (B) breast cancer mortality; (C) death without recurrence; and (D) any death, for estrogen receptor-positive patients in trials of approximately 5 years of aromatase inhibitor (AI) versus tamoxifen. D, observed; E, expected; V, variance.

# **Adjuvant Chemotherapy**

**Group statistical based  
therapy of micrometastatic  
disease**

# St Gallen guidelines 2013

Subtype <sup>a</sup>	Type of therapy	Notes on therapy
'Luminal A-like'	Endocrine therapy is the most critical intervention and is often used alone.	Cytotoxics may be added in selected patients. Relative indications for the addition of cytotoxics accepted by a majority of the Panel included: (i) high 21-gene RS (i.e. >25), if available; (ii) 70-gene high risk status, if available; (iii) grade 3 disease; (iv) involvement of four or more lymph nodes (a minority required only one node). The Panel was almost equally divided as to whether young age (<35 years) <i>per se</i> was an indication to add cytotoxics. Studies suggest a wide geographical divergence in the threshold indications for the inclusion of cytotoxics for the treatment of patients with luminal disease [96].
'Luminal B-like (HER2 negative)'	Endocrine therapy for all patients, cytotoxic therapy for most.	
'Luminal B-like (HER2 positive)'	Cytotoxics + anti-HER2 + endocrine therapy	No data are available to support the omission of cytotoxics in this group.
'HER2 positive (non-luminal)'	Cytotoxics + anti-HER2	Threshold for use of anti-HER2 therapy was defined as pT1b or larger tumour or node-positivity.
'Triple negative (ductal)'	Cytotoxics	
'Special histological types' <sup>a</sup>		
A. Endocrine responsive	Endocrine therapy	
B. Endocrine non-responsive	Cytotoxics	Adenoid cystic carcinomas may not require any adjuvant cytotoxics (if node negative).

<sup>a</sup>Special histological types: endocrine responsive (cribriform, tubular and mucinous); endocrine non-responsive (apocrine, medullary, adenoid cystic and metaplastic).

# Direct and indirect comparisons between different polychemotherapy regimens, based on ~100,000 randomised women

**45,000 taxane vs no taxane\***

(44,000 with anthracycline in both arms)

**22,000 anthracycline vs CMF**

(18,000 vs “standard” CMF)

**5,000 more vs less anthracycline**

(2000 comparing currently relevant doses)

**31,000 polychemotherapy vs no adjuvant chemo**

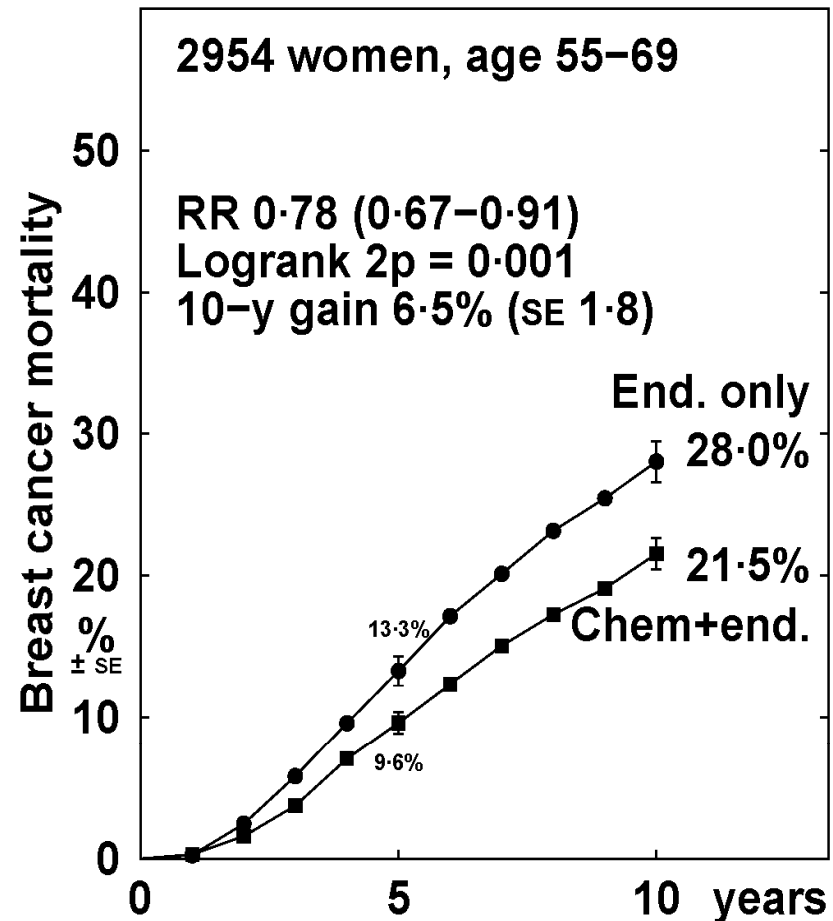
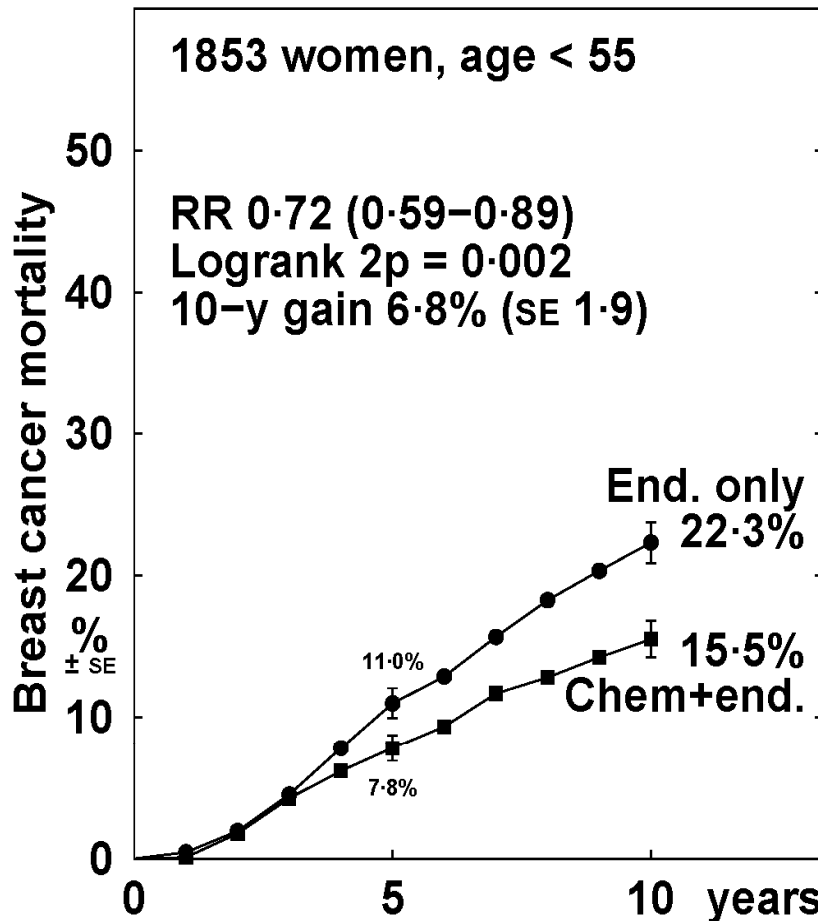
(13,000 CMF vs Nil; 10,000 anthr.-based regimen vs Nil)

\* Excludes trials of one taxane regimen vs another

## Halving big risks and halving small risks by chemotherapy

- Proportional risk reduction does not depend much on age, ER status or nodal status (or on tumour grade or tumour diameter)
- Absolute risk reduction, however, depends on the prognosis – and, for ER+ disease, this is the prognosis with endocrine therapy
- Information lacking on tumour gene expression and on quantitative immunohistochemistry

## Chemotherapy (anthracycline-based regimen or standard CMF) + 5 year endocrine therapy vs 5 year endocrine therapy only, ER+ disease only: by ENTRY AGE



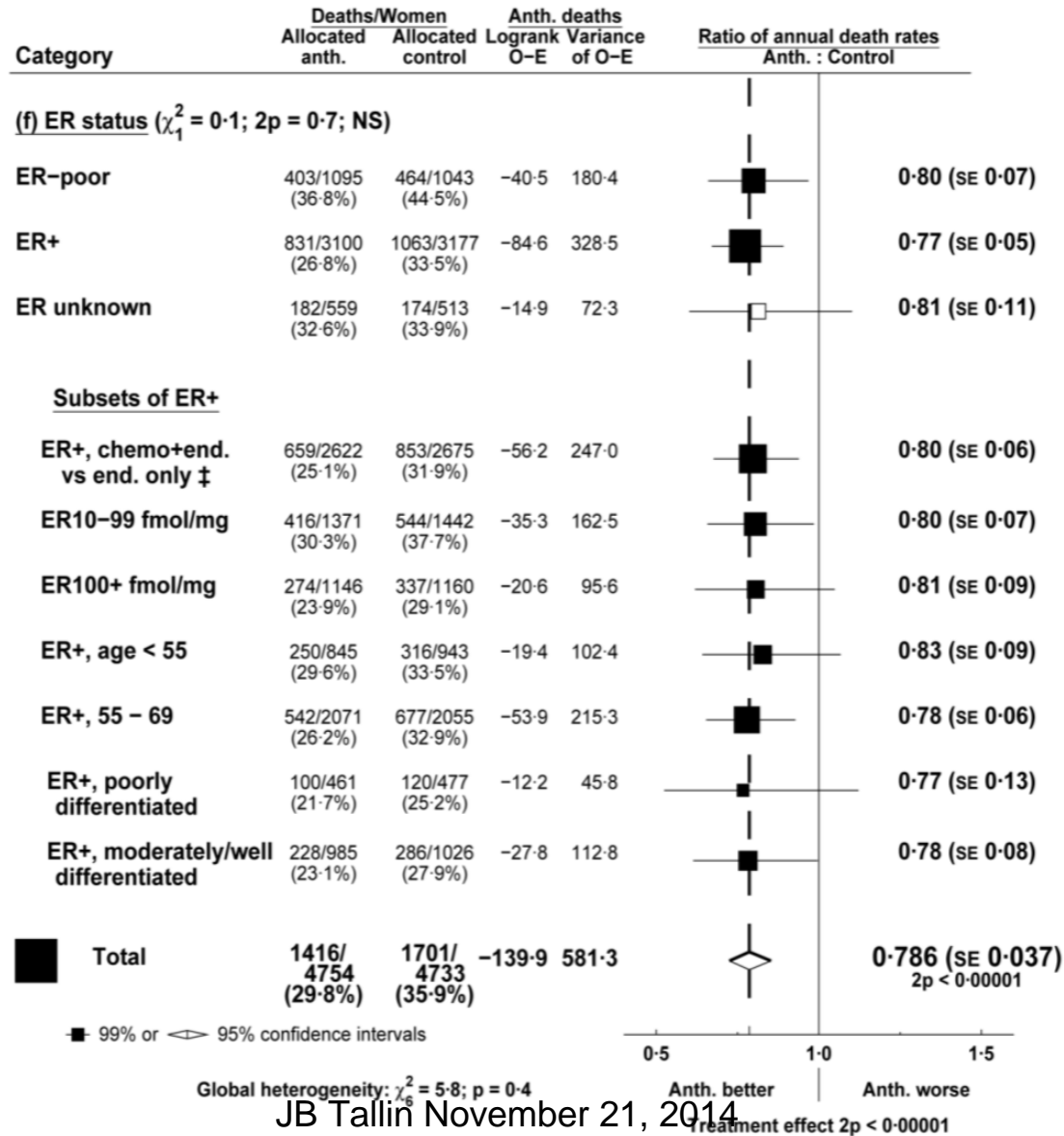
Death rates (% / year: total rate - rate in women without recurrence) and logrank analyses

Allocation	Years 0 - 4	Years 5 - 9	Year 10+	Years 0 - 4	Years 5 - 9	Year 10+
Chem+end.	1.72 SE 0.19	1.99 SE 0.23	1.77 SE 0.28	2.09 SE 0.16	2.88 SE 0.22	3.03 SE 0.31
End. only	2.20 SE 0.23	2.53 SE 0.28	1.69 SE 0.29	2.75 SE 0.23	3.96 SE 0.32	2.88 SE 0.35
Rate ratio	0.71 SE 0.14	0.65 SE 0.14	0.96 SE 0.24	0.70 SE 0.10	0.75 SE 0.10	1.03 SE 0.17
(O-E) / V	-13.0 / 37.8	-14.7 / 33.8	-0.6 / 16.2	-23.1 / 66.0	-19.7 / 69.4	1.0 / 34.5

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# Breast cancer mortality ratio: any anthracycline-based regimen (eg, standard 4AC) vs no adjuvant chemotherapy, by ER STATUS and subsets of ER+



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# **Dose dense therapies**

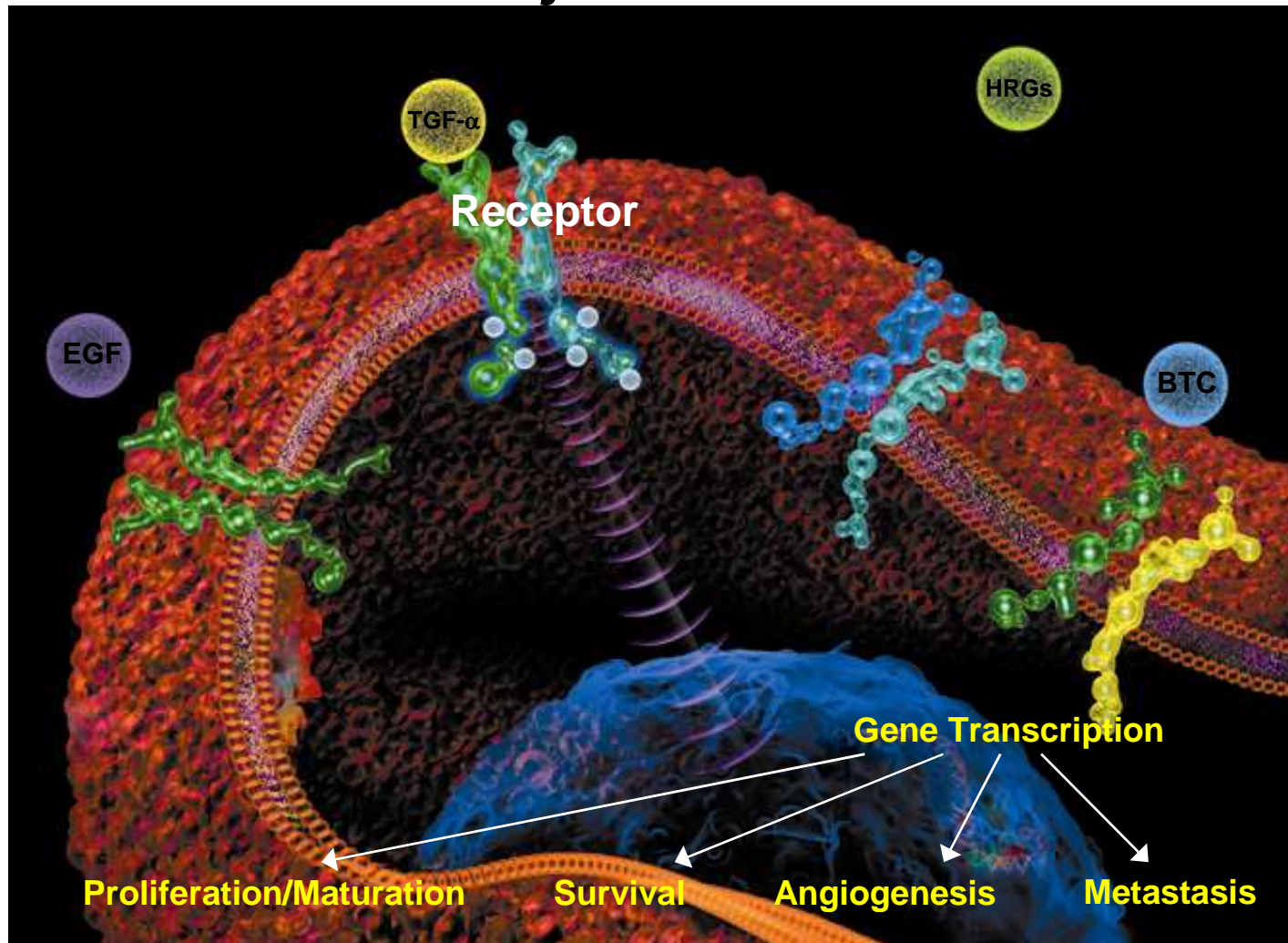
**A better way to deliver  
chemotherapy-same doses with  
G-CSF support but with a bi-  
weekly interval instead of the  
standard q three weeks?**

**Bonilla et al, JNCI 2010**

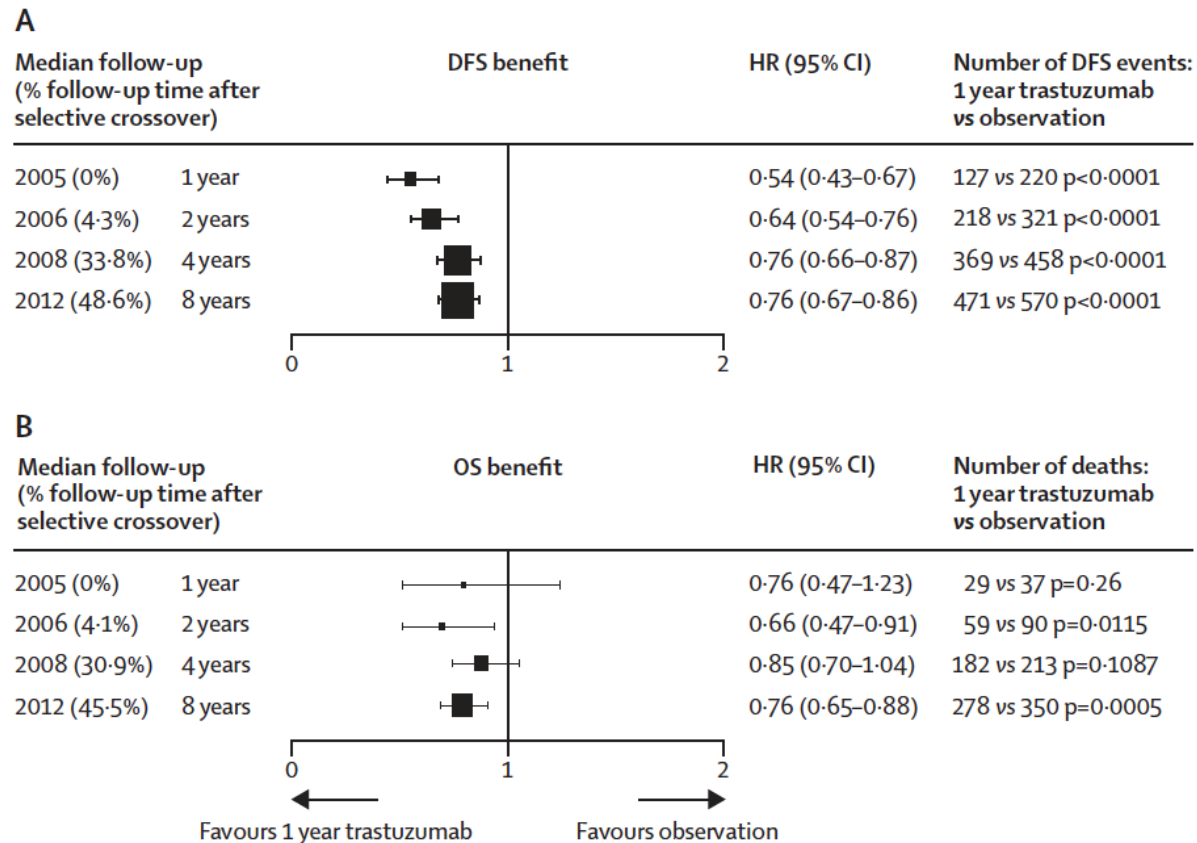
**Survival gain**

# Her-2 (Her-2/neu, c-erbB2)

## Her familjens funktioner



Huang et al, 1999. Woodburn, 1999. Slide from Pfizer

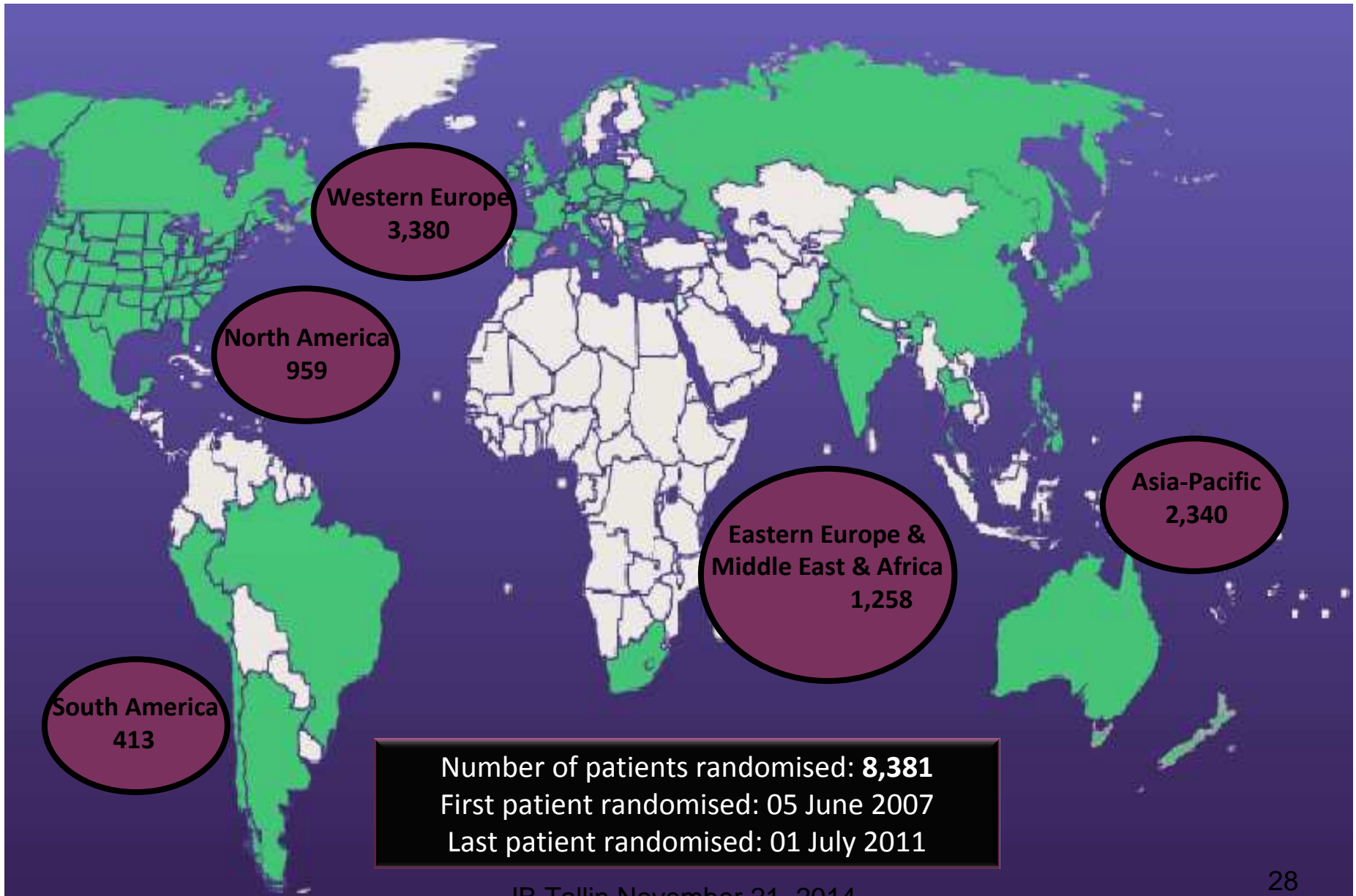


### Hazard ratios and confidence intervals in a comparison of 1 year trastuzumab treatment versus observation (intention-to-treat analysis)

(A) Disease-free survival. (B) Overall survival. Results for 1, 2 and 4 years' median follow-up are found in references 1,3 and 4, respectively. These intention-to-treat analyses are affected by selected crossover of 884 (52%) of patients in the observation group who received trastuzumab after the first results were released in 2005. The number in parentheses show the percentage of follow-up time in the intention-to-treat analysis that was accrued after selective crossover for patients assigned to the observation group. DFS=disease-free survival HR=hazard ratio. OS=overall survival.

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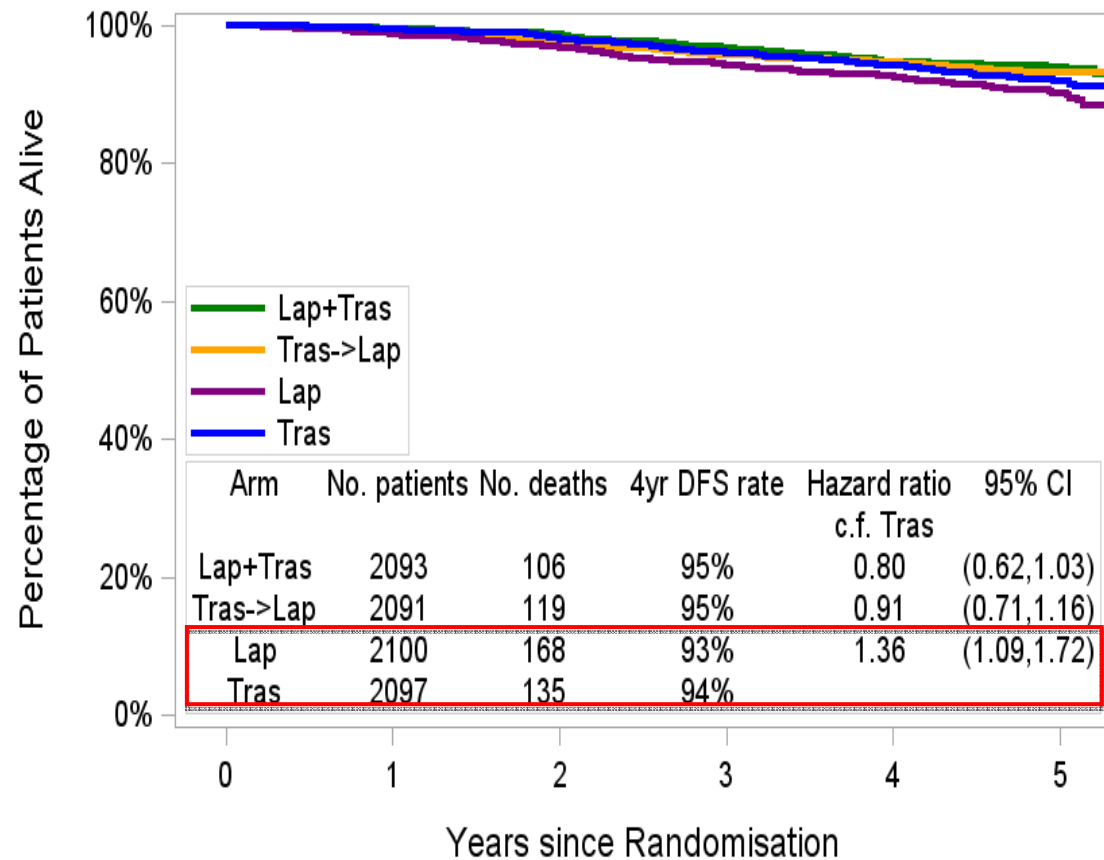
# ALTTO Recruitment



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Slide from Prof Edith Perez 29-9-

# Overall Survival (OS) Analysis



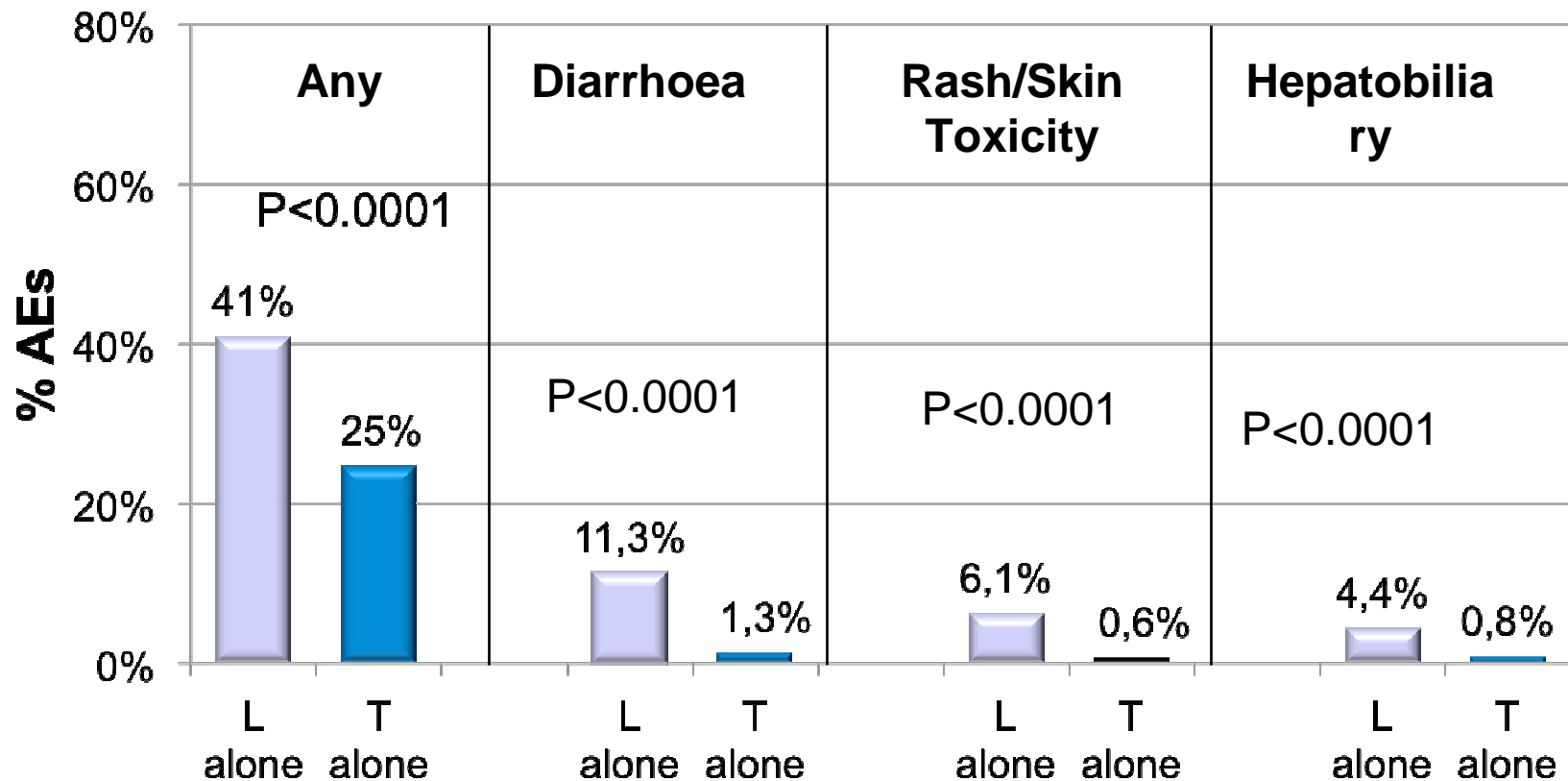
Lap+Tras	2093	1979	1930	1795	1362	533
Tras->Lap	2091	2005	1933	1805	1368	521
Lap	2100	1918	1839	1690	1273	490
Tras	2097	2023	1949	1804	1373	508

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Slide from Prof Edith Perez 29-9-14


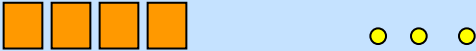
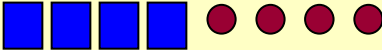


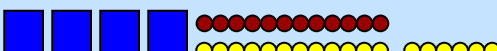
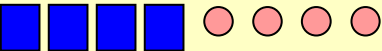

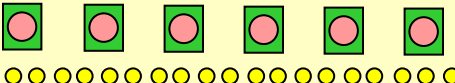


# Main Differences in Grade 3-4 AEs by Treatment Arm



Slide from Prof Edith Perez 29-9-14

# Adjuvant Trastuzumab BC

## Trials

		<u>Severe CHF</u>	<u>Syst. Dysf.</u>
<u>HERA</u>			
CT			
CT → Trast		0.6%	3.0%
<u>NSABP B-31</u>			
AC → Ptx			
AC → Ptx+Trast		3.6%	15.9%
<u>NCCTG N 9831</u>			
AC → Ptx			
AC → Ptx+Trast		2.5 / 3.3%	14 / 17 %
<u>BCIRG 006</u>			
AC → Docet			
AC → Docet+Trast		1.9 %	18.1 %
TC+Trast → Trast		0.4 %	8.6 %
<u>FinHER</u>			
Docet → +/- Trast			
Vinblast → +/- Trast		0 %	3.5 %

BC Cancer November 21, 2011

Generous gift from Assoc Prof. Suter

”For middle-aged women with ER-positive disease (the commonest type of breast cancer), the breast cancer mortality rate throughout the next 15 years would be approximately halved by 6 months of anthracycline-based chemotherapy (with a combination such as FAC or FEC) followed by 5 years of adjuvant tamoxifen.”

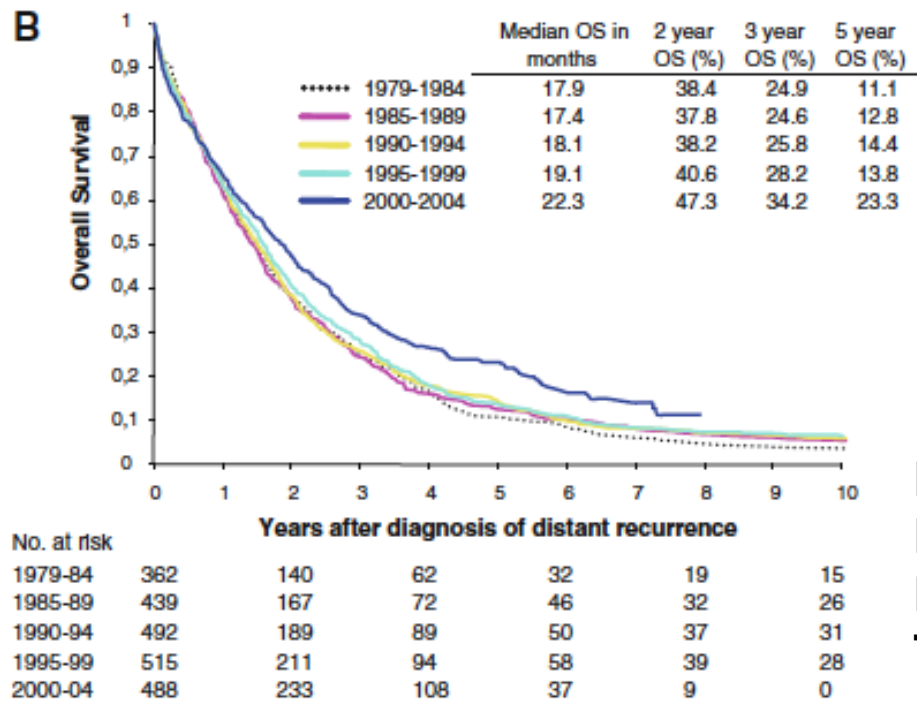
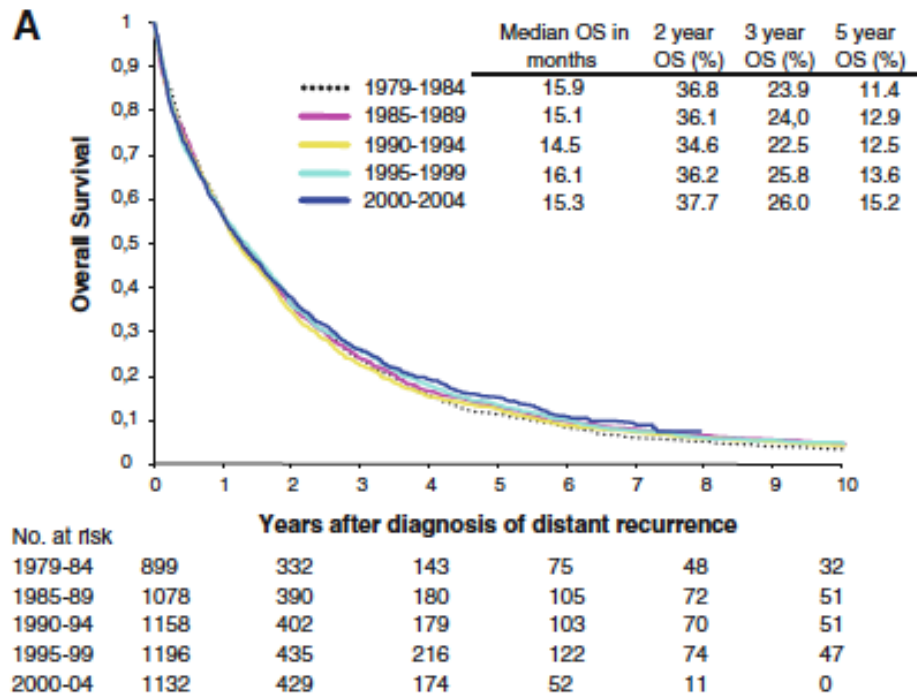
Lancet, EBCTCG 2005



# **BREAST CANCER METASTATIC DISEASE**

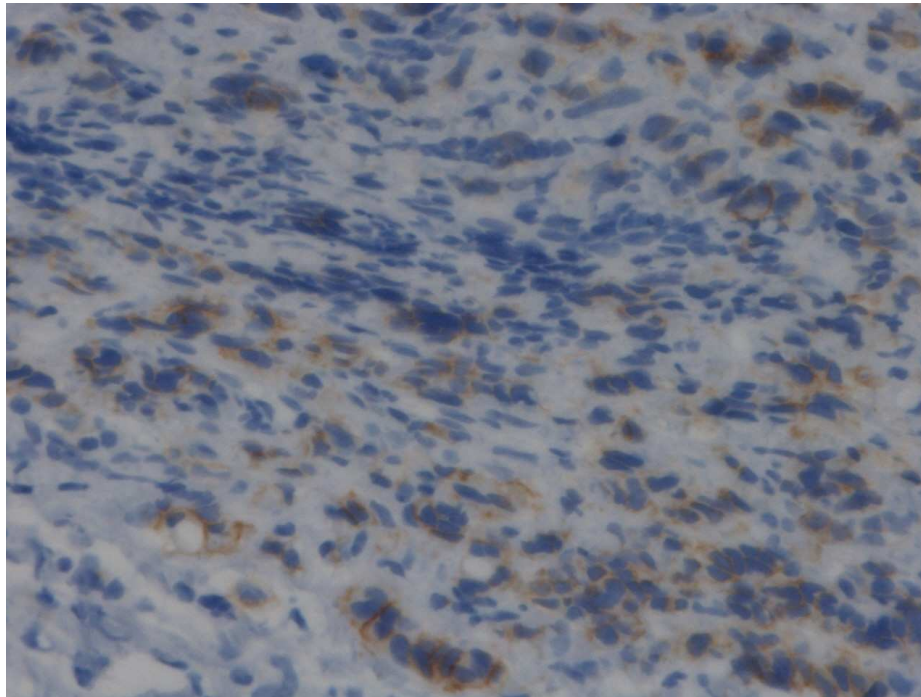
**ESO-ESMO 2nd international consensus  
guidelines  
for advanced breast cancer (ABC2)**

**Annals of Oncology and The Breast On  
line September 2014**

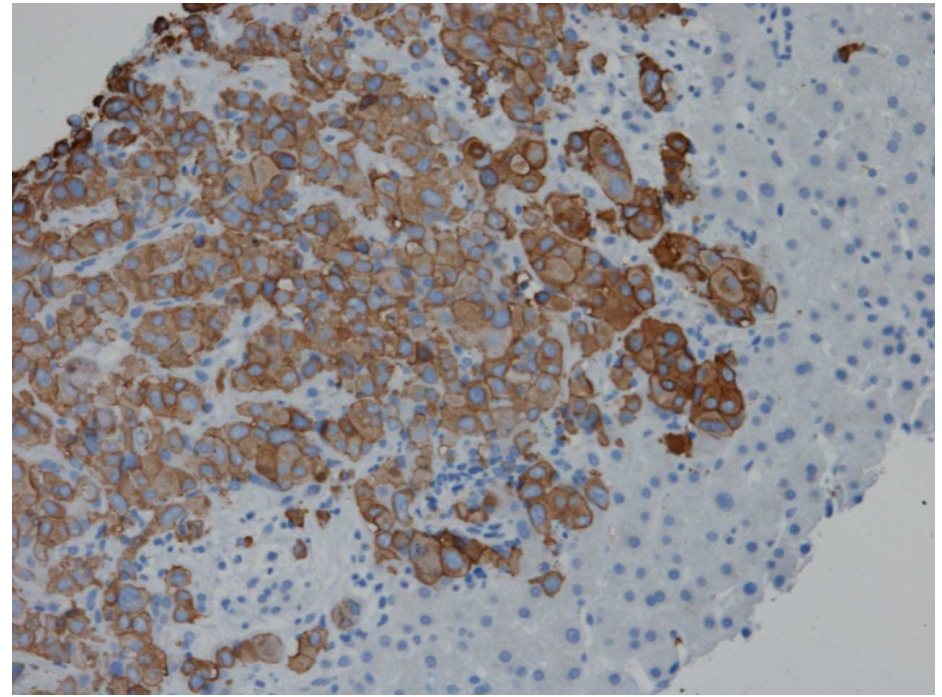


Foukakis et al,  
Breast Cancer  
Research and  
Treatment, 2011

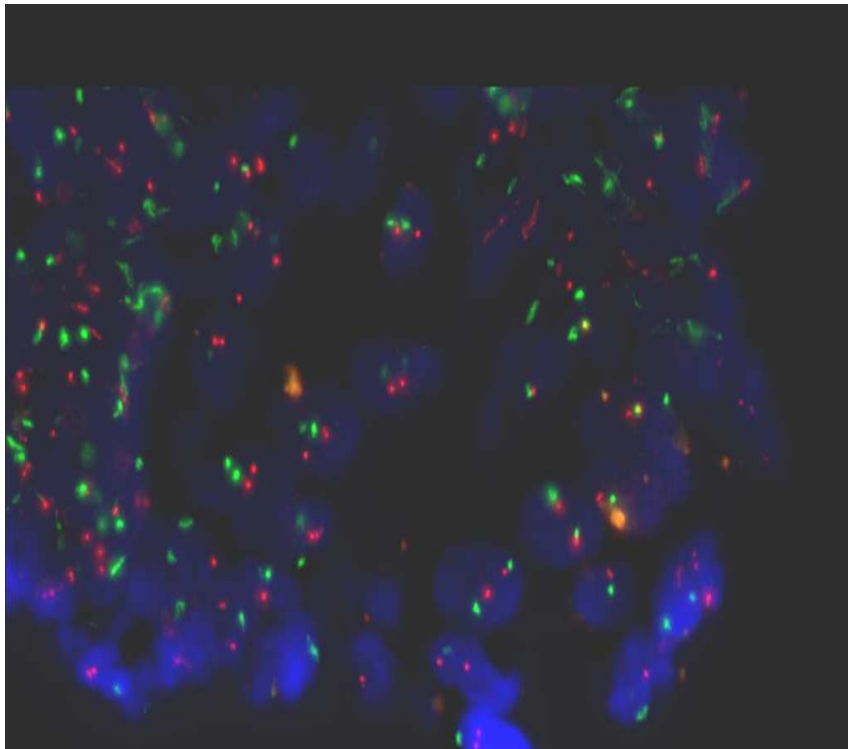
Ax LN core



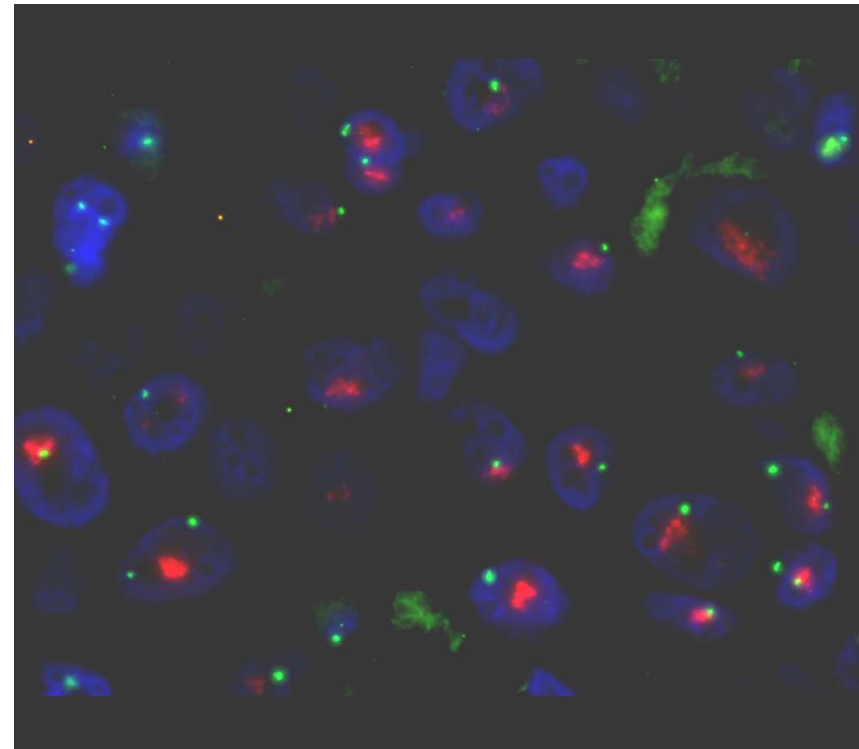
Liver core



Ax LN



Liver

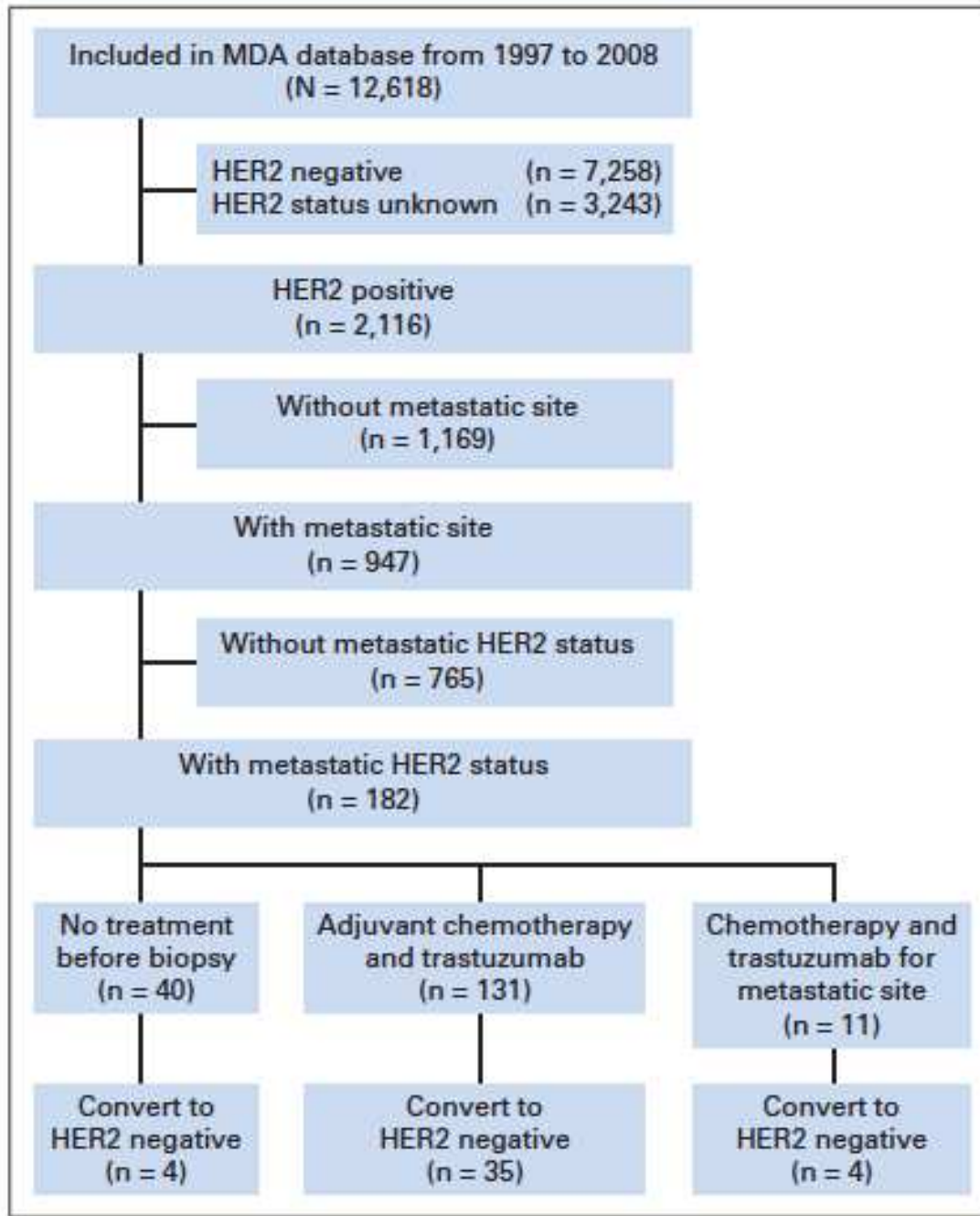


# **Prospective studies comparing on ER & PR and HER-2 during metastatic development**

By taken biopsies, when you have  
a “radiological relapse of breast  
cancer”, you change management  
in 1/6- 1/7 patient

(Thompson et al, 2010, Amir et al, 2011)

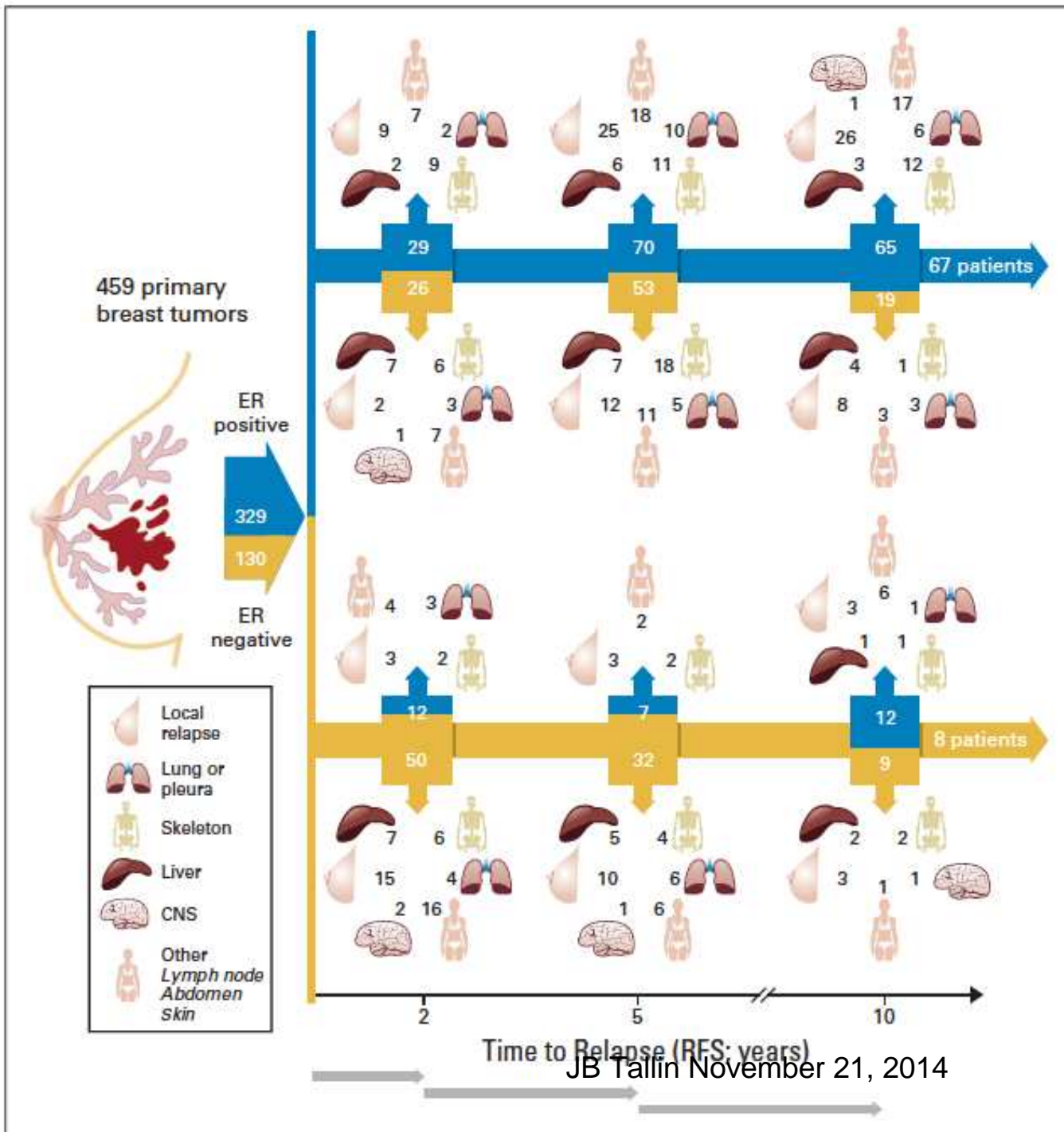
# Retrospective studies



**Fig 1.** Study diagram. HER2, human epidermal growth factor receptor 2; MDA, MD Anderson Cancer Center.

Niikura et al, JCO on line 2011





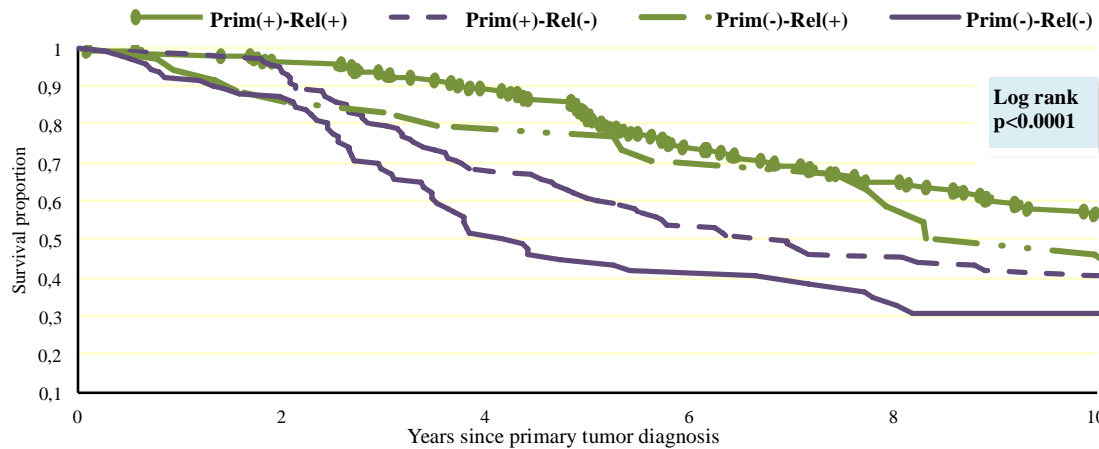
**Fig 2.** Patients by intraindividual estrogen receptor (ER) status (in primary tumor and first diagnosed site of relapse) were stratified according to relapse-free survival (RFS) at  $\leq 2$ , 5, and 10 years. The sites of relapse were grouped into local relapse (ipsilateral breast), skeleton, lung and pleura combined, liver, CNS, or other (mainly defined as lymph node, abdomen, or skin).

Lindström/Karlsson et al, JCO 2012

# Overall survival

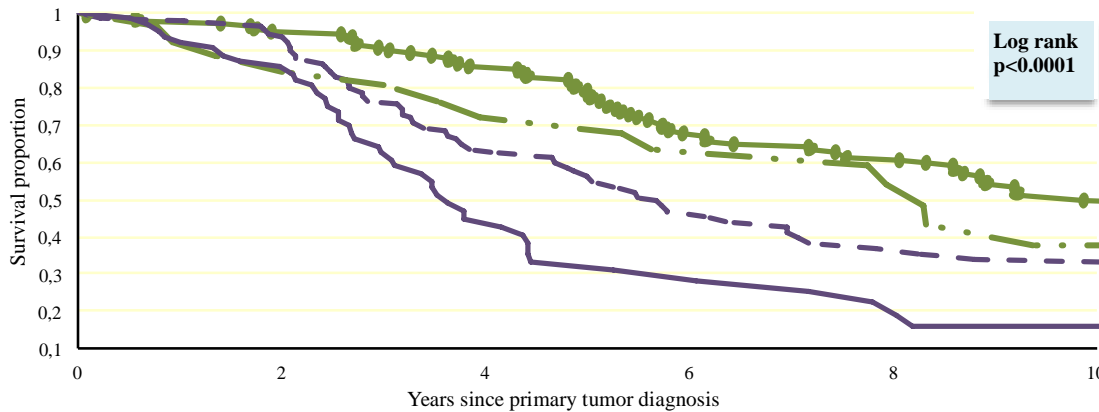
from the time of primary tumour diagnosis to death or censoring contrasting intra-patient ER status

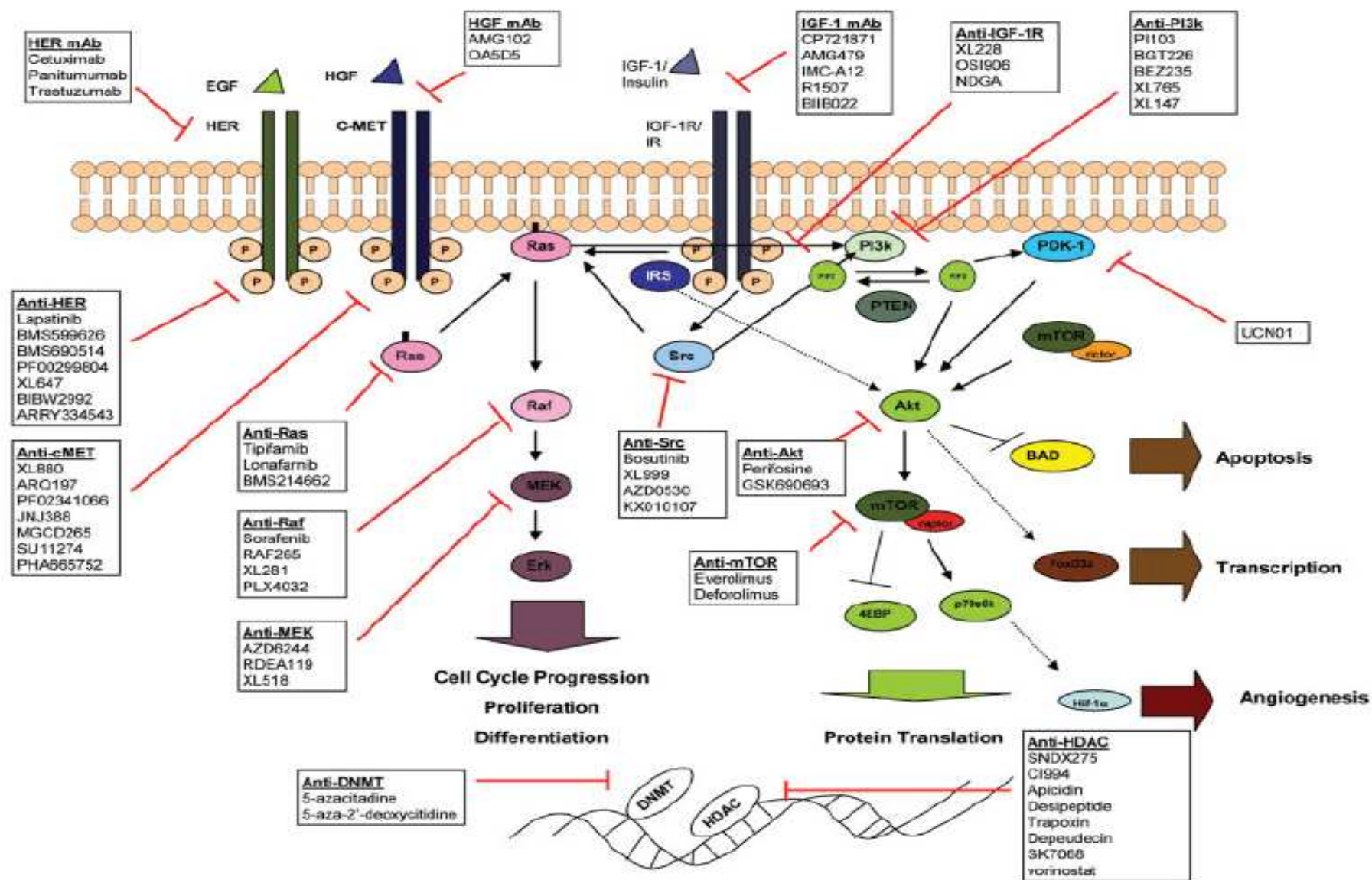
Local and systemic relapses included



20 of 36 pts gaining Er+ received endocrine therapy

Only systemic relapses included



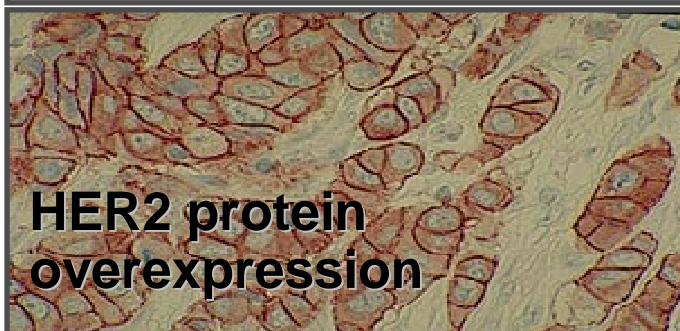
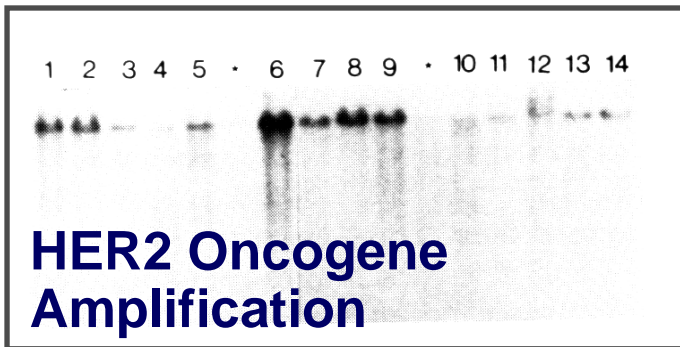


**FIGURE 1.** Depicted are the cellular signaling pathways involved in the proliferation, angiogenesis, and differentiation in neoplasms with the targets amenable to therapeutic interventions in cancer therapy. Membrane-bound human epidermal growth factor receptors (HER), c-MET, and insulin-like growth factor 1 receptor (IGF-1R) mediate mitogenic signals from extracellular ligands, such as epidermal growth factor (EGF), hepatocyte growth factor (HGF), and insulin growth factors (IGF), respectively. The Ras/Raf/MEK/Erk (mitogen-activated protein kinase, MAPK) and PI3k/Akt/mTOR pathways are major intracellular axes that regulate intracellular signaling traffic. DNA methyltransferases (DNMT) and histone deacetylases (HDAC) are "epigenetic switches" that modulate the expression of oncogenes and tumor suppressor genes. The agents targeting the signaling proteins are indicated in boxes.

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# HER-2/neu positive metastatic breast cancer

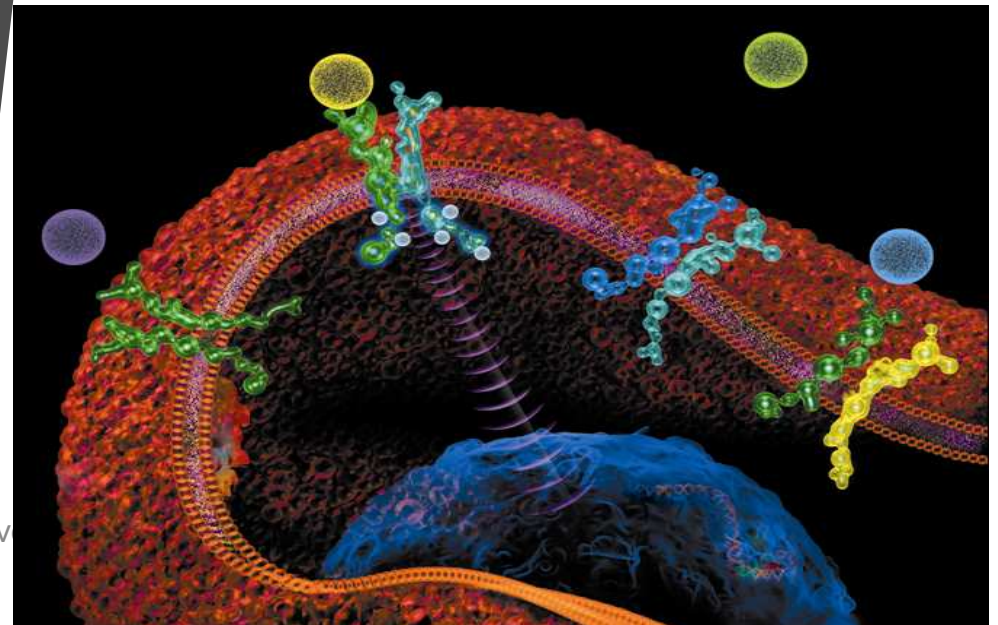
## Breast Cancer



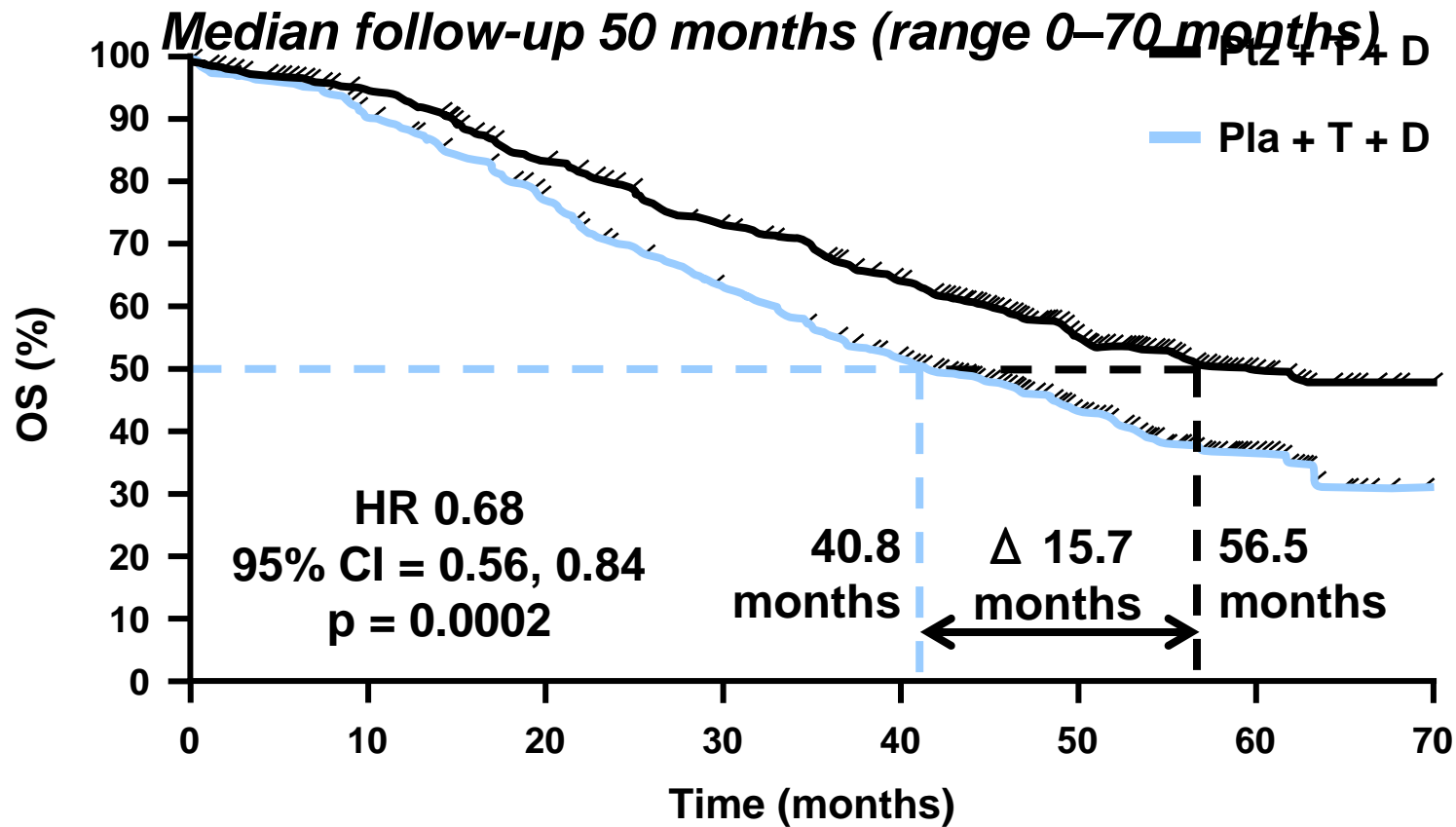
From Slamon et al, Science 1987

## Shortened Median Survival

HER2 overexpressing	3 yrs
HER2 normal	6 - 7 yrs



# Trastuzumab+ pertuzumab+ docetaxel – 15.7 månaders (vs trast + doc) medianöverlevnadsvinst FINAL OS Analysis

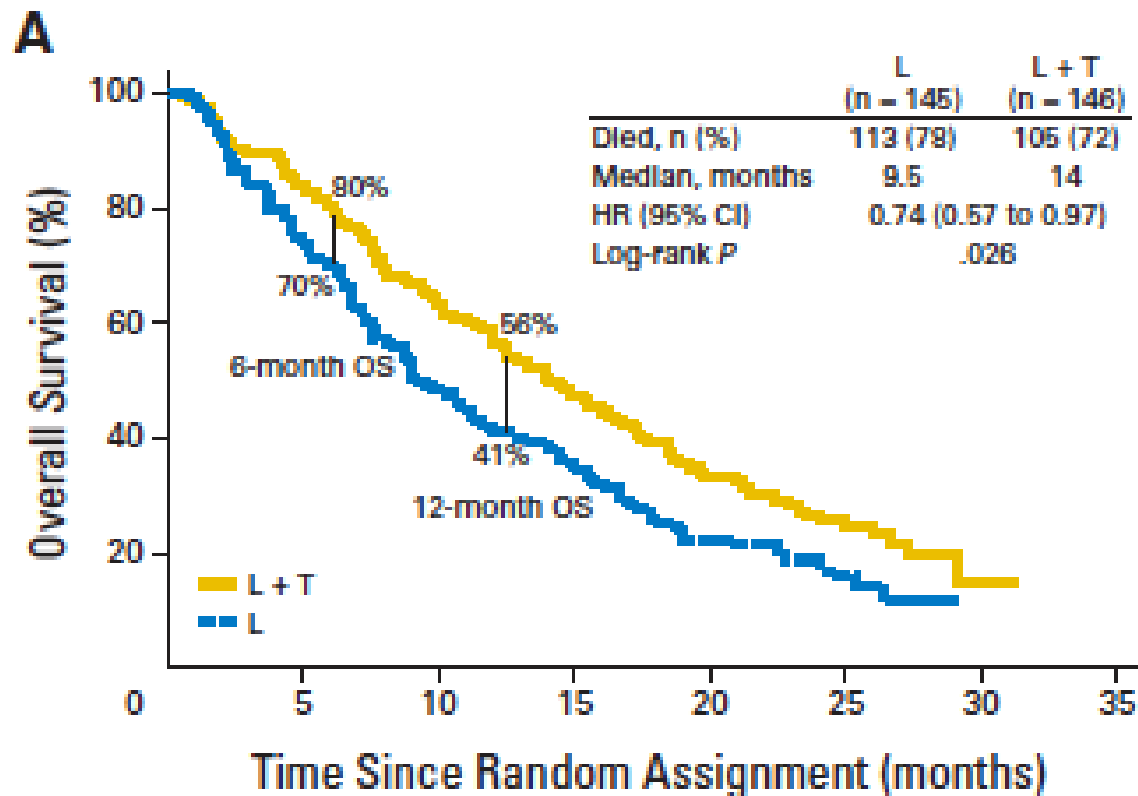


n at risk		0	10	20	30	40	50	60	70
—	Ptz + T + D	402	371	318	268	226	104	28	1
—	Pla + T + D	406	350	289	230	179	91	23	0

ITT population. Stratified by geographic region and neo/adjuvant chemotherapy.  
CI, confidence interval; Pla, placebo; Ptz, pertuzumab.

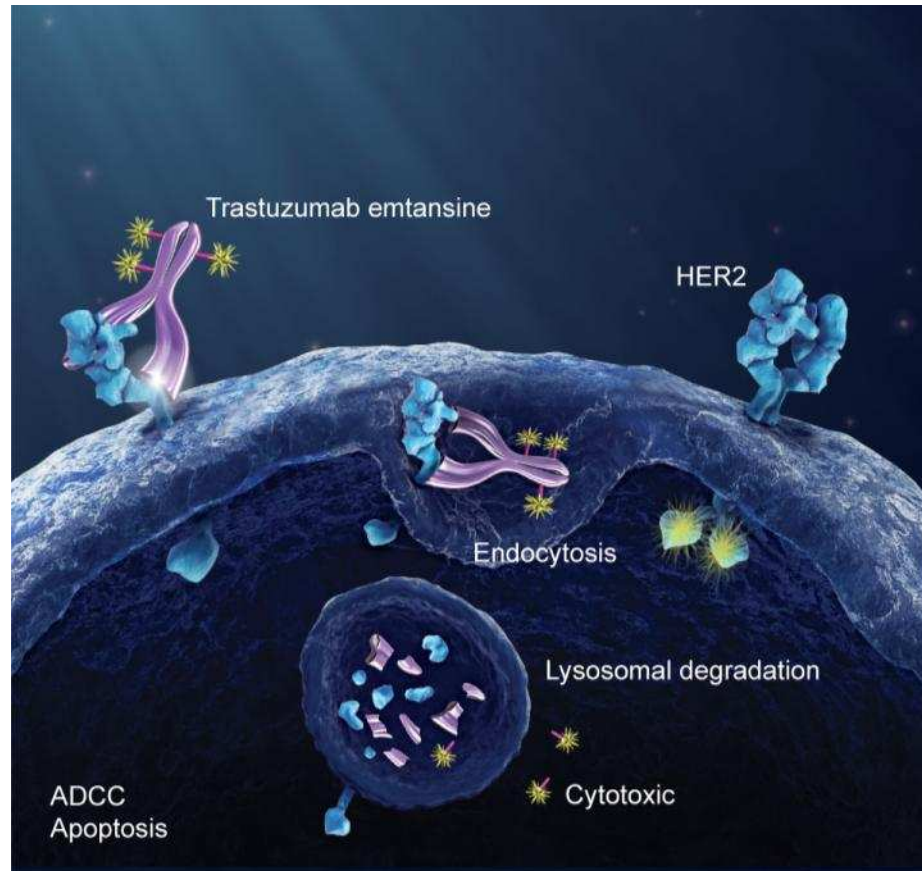
Slide from Prof Sandra Swain 45  
28-9-14, ESMO 2014

# Double blockade, trastuzumab + lapatinib, after failure on previous anti-Her-2 therapy

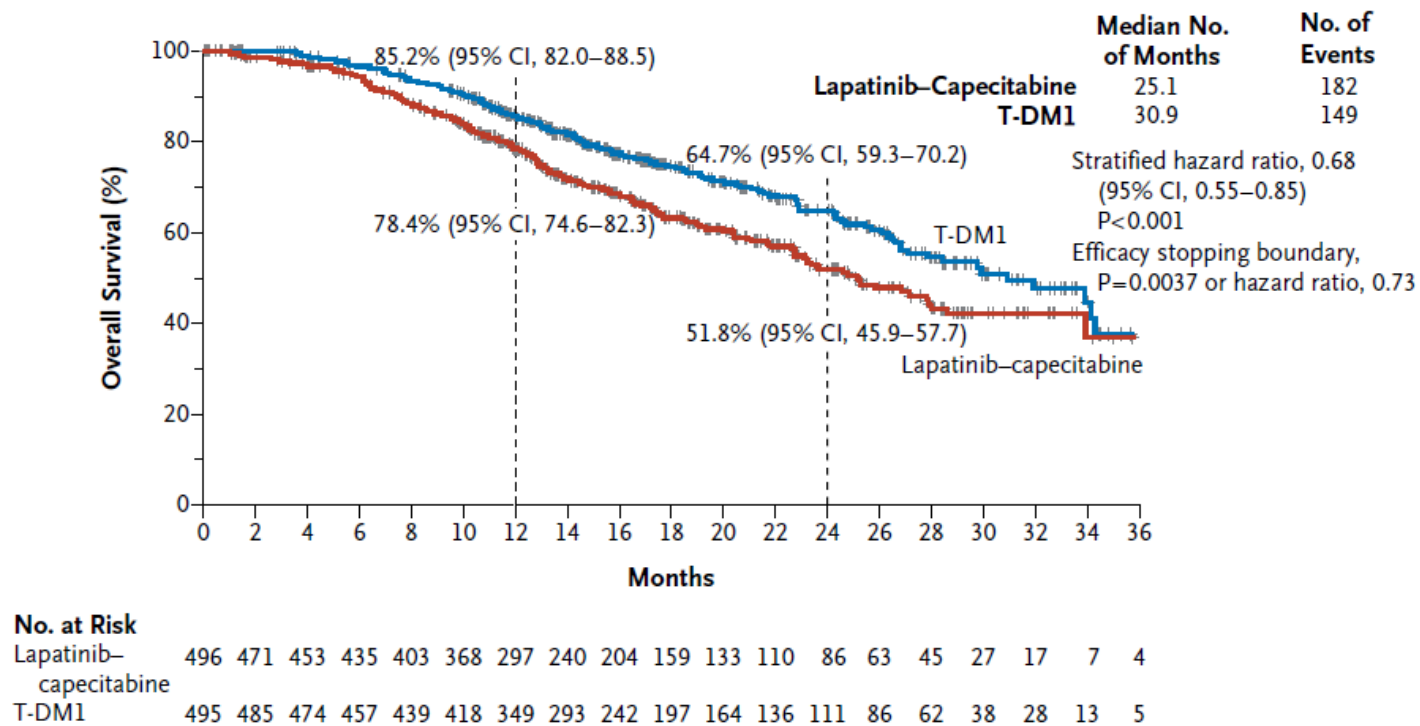


No. at risk							
L + T	146	120	97	63	42	25	1
L	145	100	64	46	28	13	

## Other HER-2 interacting drugs; lapatinib, neratinib pertuzumab, TDM-1

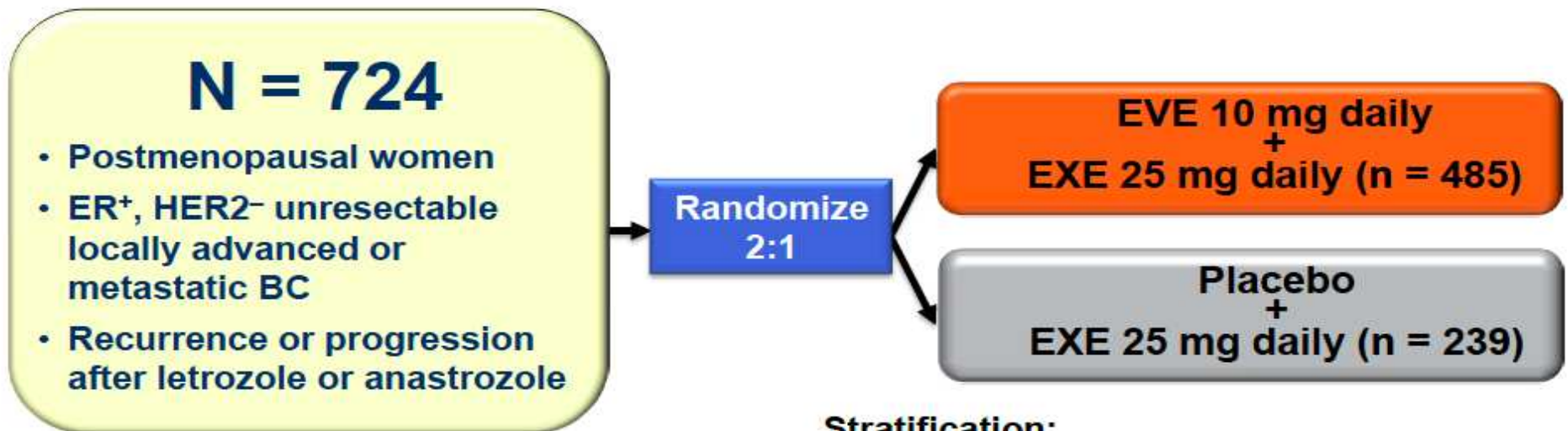


# After progress, trastuzumab-emtansin, 5,8 månaders medianöverlevnadsvinst





## BOLERO-2: Study Design



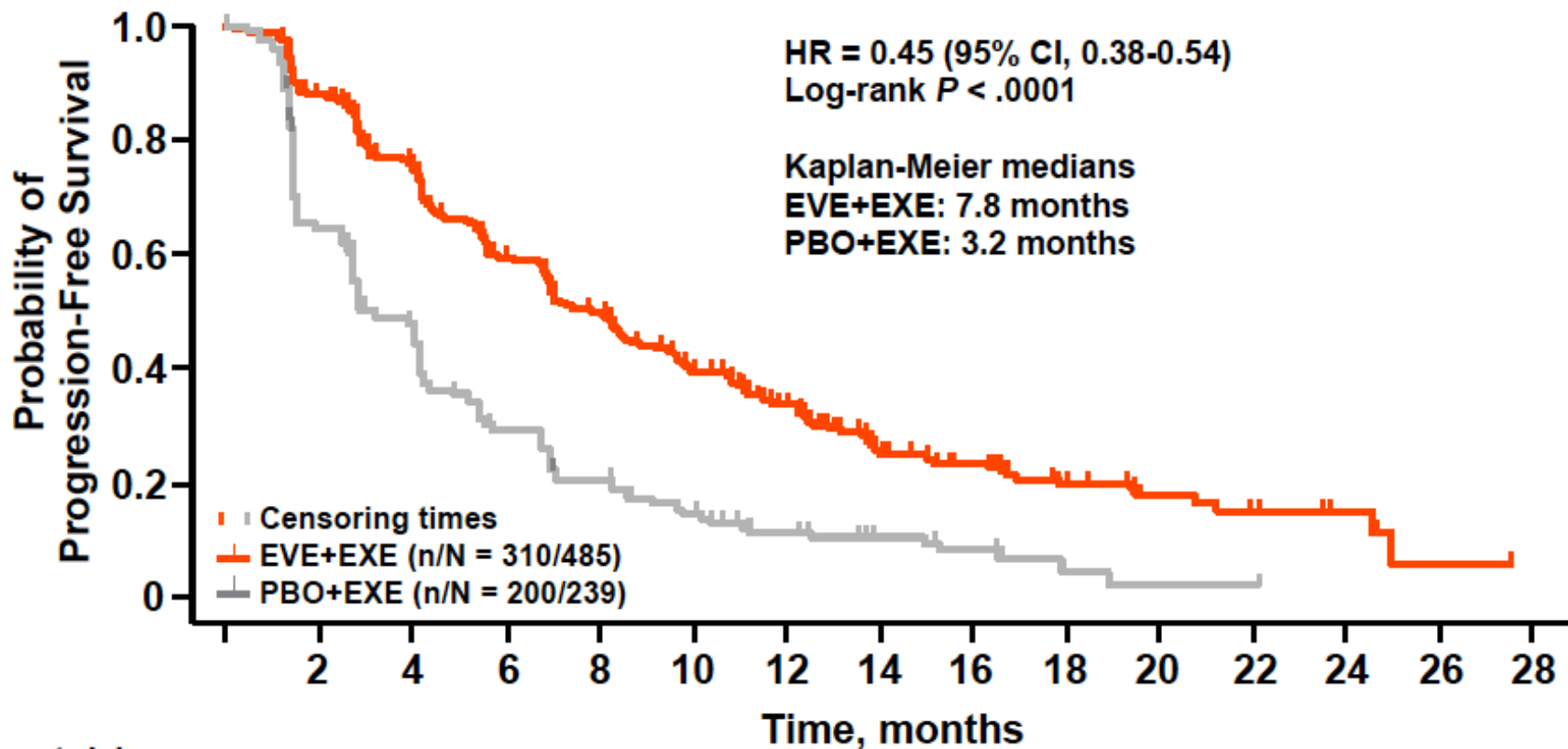
### Stratification:

- Sensitivity to prior hormone therapy
- Presence of visceral metastases

### Endpoints

- **Primary:** PFS (local assessment)
- **Secondary:** OS, ORR, CBR, QOL, safety, PK
- **Exploratory:** Biomarkers

# BOLERO-2 Met Primary Endpoint: Final PFS Analysis (18-mo) Based on Local Assessment Demonstrated a 4.6-mo Prolongation of PFS



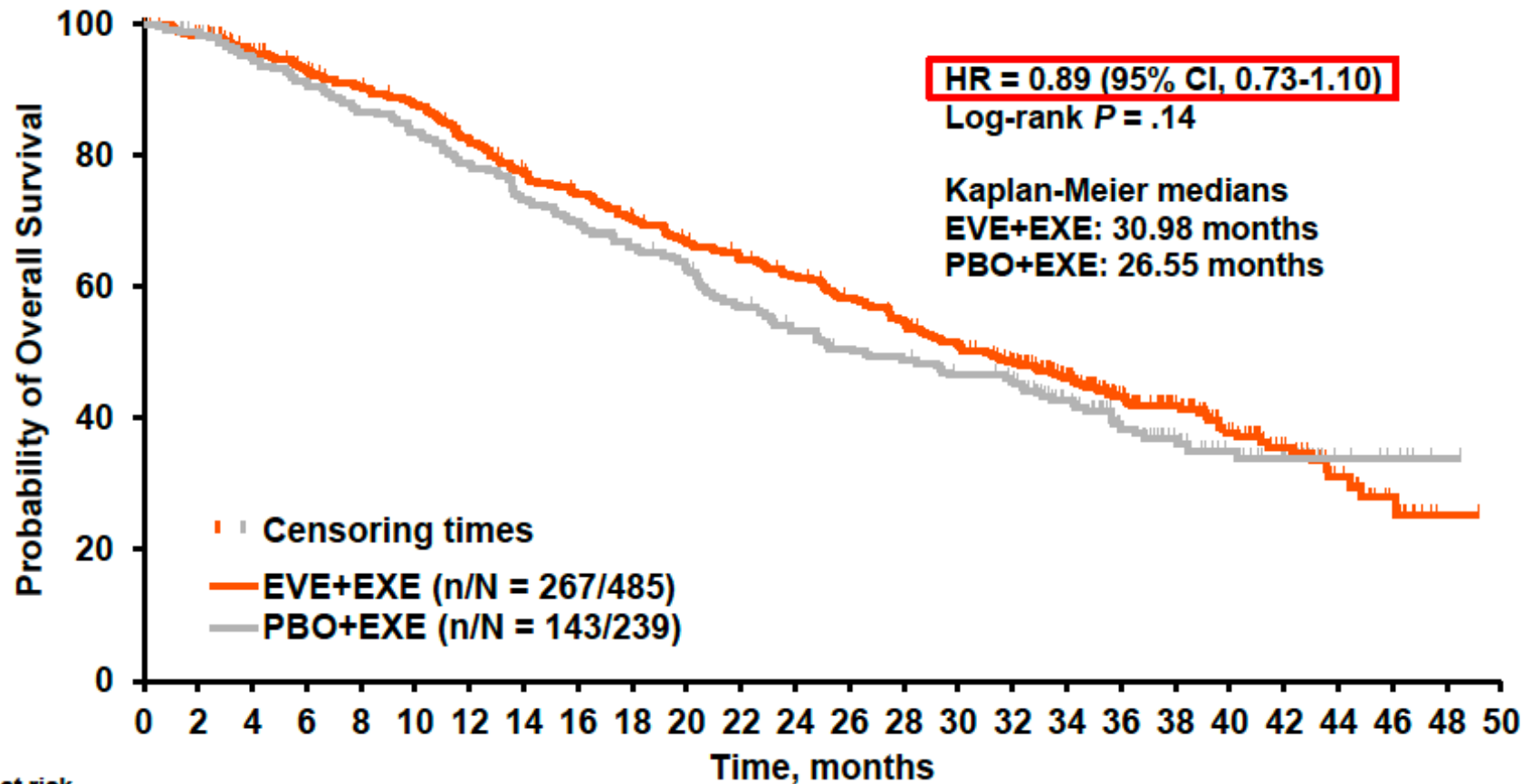
No. at risk

EVE+EXE	485	394	318	236	194	147	99	57	42	23	13	10	4	1	0
PBO+EXE	239	146	103	61	42	27	17	9	6	2	1	1	0	0	0

JB Tallin November 21, 2014

Piccart et al, EBCC, Glasgow 2014

# BOLERO-2 (39-mo): Final OS Analysis



No. at risk

EVE+EXE	485	471	448	429	414	399	373	347	330	311	292	279	266	248	232	216	196	154	118	91	58	39	23	11	1	0
PBO+EXE	239	232	220	211	201	194	182	170	162	153	145	130	120	113	109	102	98	77	56	41	28	18	8	5	1	0

- At 39 months' median follow-up, 410 deaths had occurred (data cutoff date: 03 October 2013)
  - 55% deaths (n = 267) in the EVE+EXE arm vs 60% deaths (n = 143) in the PBO+EXE arm

One-sided P value was obtained from the log-rank test stratified by sensitivity to prior hormonal therapy and presence of visceral metastasis from IXRS®.

Abstract CT101

Final Results of a Randomized Phase 2 Study of Palbociclib  
(PD 0332991) a Cyclin-Dependent Kinase (CDK) 4/6  
Inhibitor, in Combination with Letrozole vs Letrozole Alone for  
First-Line Treatment of  
ER+, HER2– Advanced Breast Cancer  
(PALOMA-1/TRIO-18)

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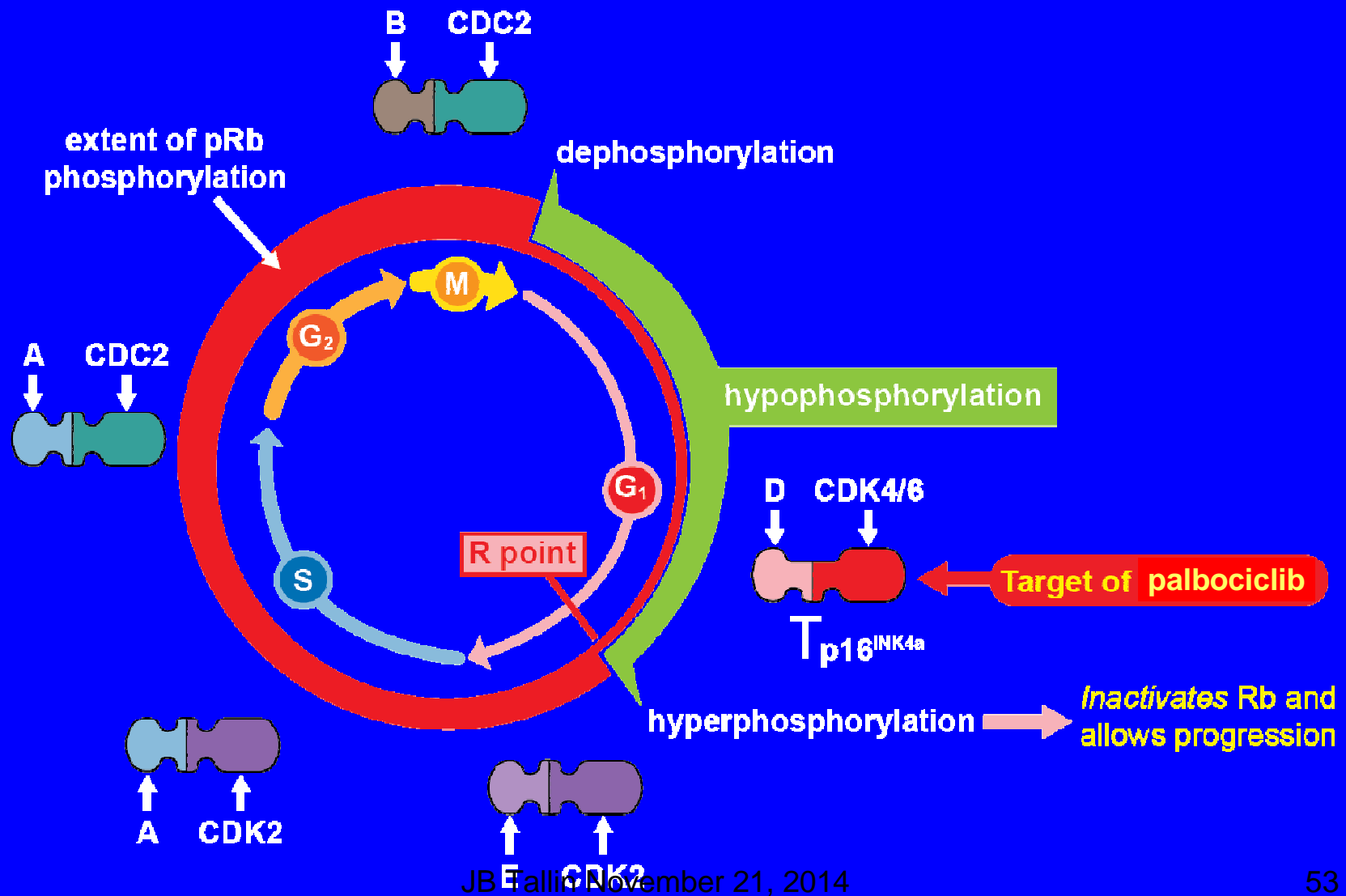
RS Finn,<sup>1</sup> JP Crown,<sup>2</sup> I Lang,<sup>3</sup> K Boer,<sup>4</sup> IM Bondarenko,<sup>5</sup> SO Kulyk,<sup>6</sup> J Ettl,<sup>7</sup> R Patel,<sup>8</sup> T  
Pinter,<sup>9</sup> M Schmidt,<sup>10</sup> Y Shparyk,<sup>11</sup> AR Thummala,<sup>12</sup> NL Voytko,<sup>13</sup> X Huang,<sup>14</sup>  
ST Kim,<sup>14</sup> S Randolph,<sup>14</sup> DJ Slamon<sup>1</sup>

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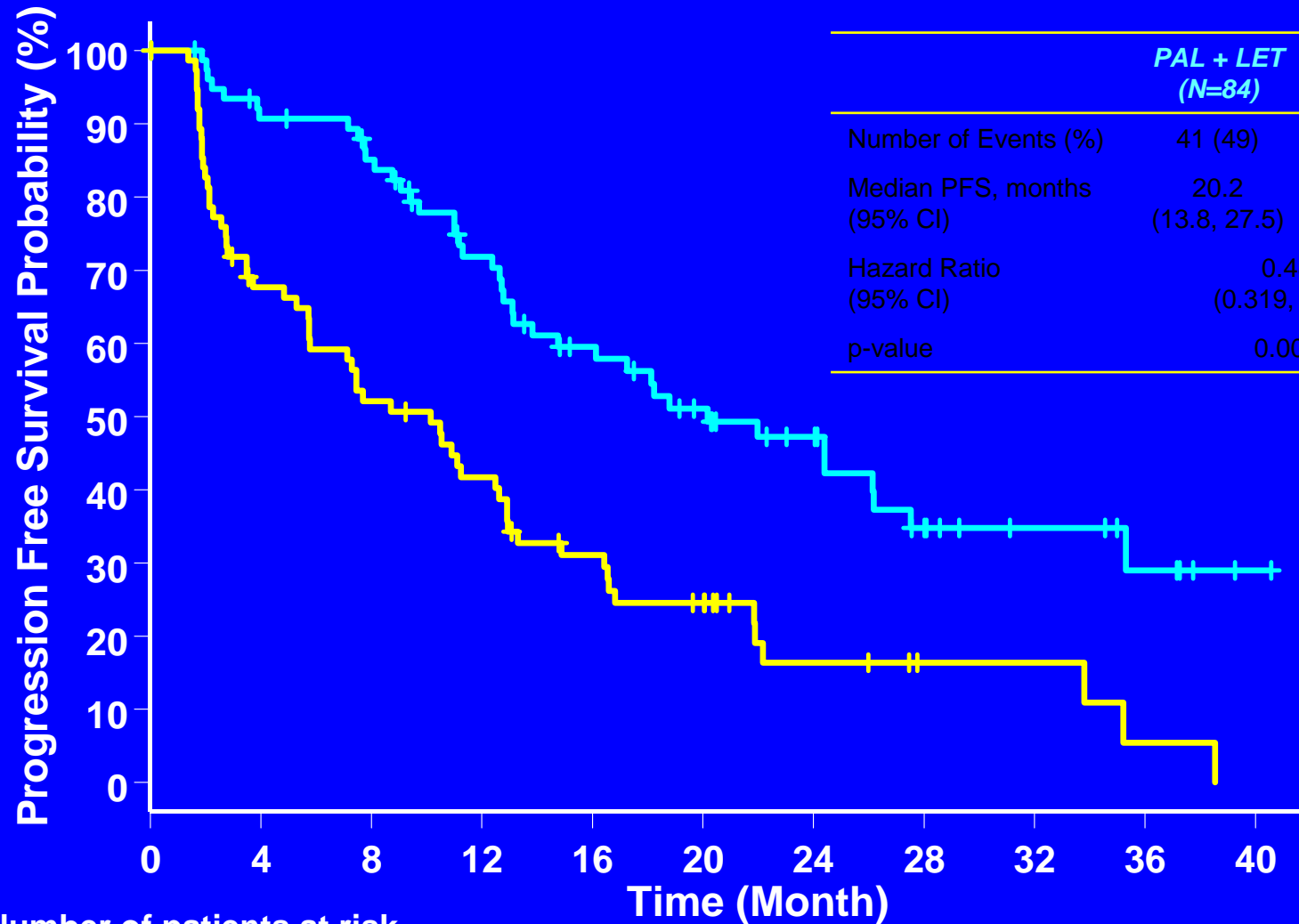
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# Rb as Master-Regulator of the R-point



# Progression-Free Survival (ITT)



	<i>PAL + LET</i> (N=84)	<i>LET</i> (N=81)
Number of Events (%)	41 (49)	59 (73)
Median PFS, months (95% CI)	20.2 (13.8, 27.5)	10.2 (5.7, 12.6)
Hazard Ratio (95% CI)	0.488 (0.319, 0.748)	
p-value	0.0004	

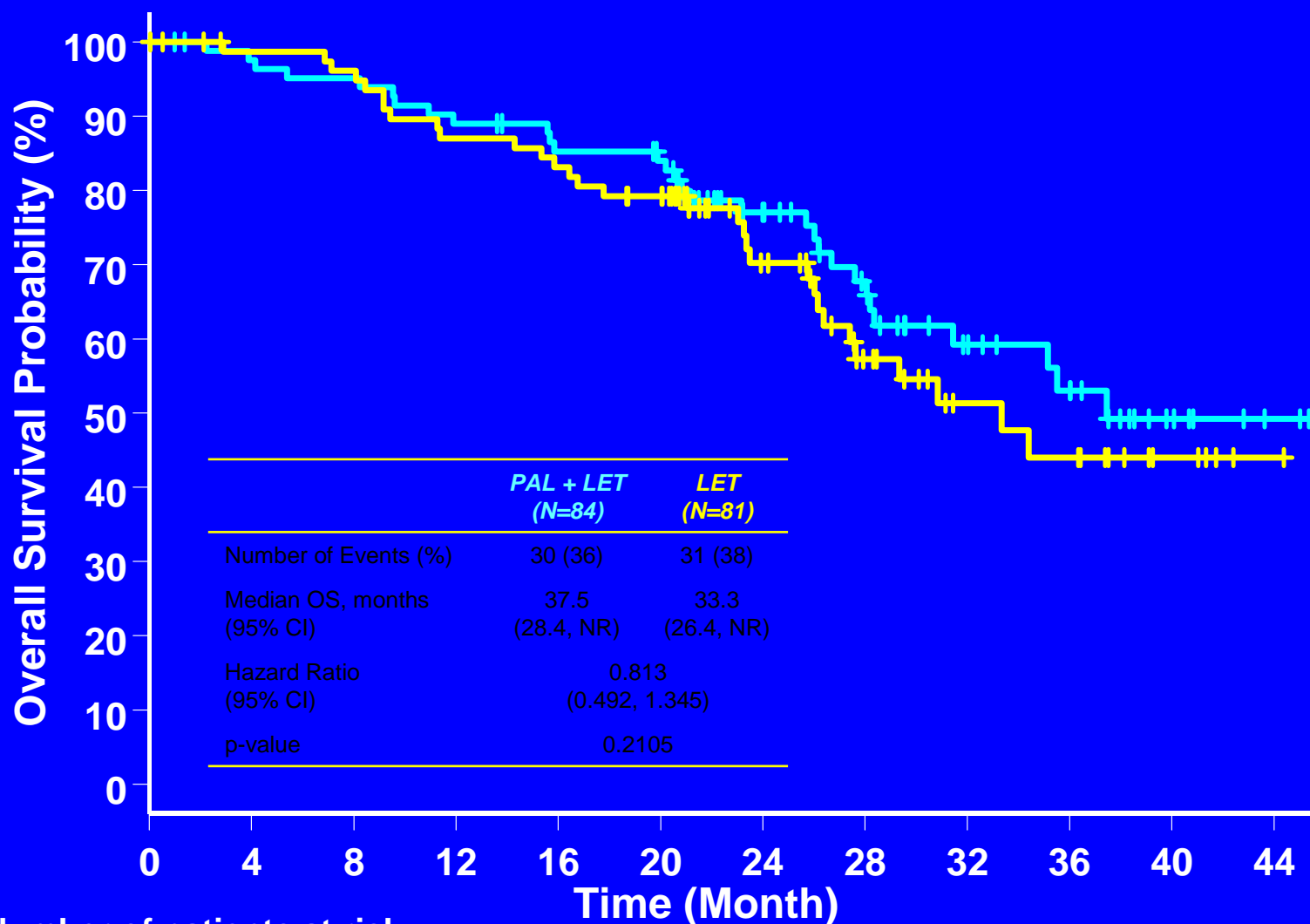
Number of patients at risk

	0	4	8	12	16	20	24	28	32	36	40
<i>PAL+LET</i>	84	67	60	35	35	13	8	5	1	1	0
<i>LET</i>	81	48	36	28	19	14	6	3	3	1	0

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# Overall Survival (ITT) At Time of Final PFS Analysis



Number of patients at risk

	0	4	8	12	16	20	24	28	32	36	40	44
<i>PAL+LET</i>	84	80	78	73	68	65	47	35	22	17	7	2
<i>LET</i>	81	76	74	67	64	59	37	23	14	12	5	1

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# Most Common All-Causality AEs ≥15% (AT)

	<i>PAL + LET</i> (N=83)			<i>LET</i> (N=77)		
	<i>G1/2 (%)</i>	<i>G3 (%)</i>	<i>G4 (%)</i>	<i>G1/2 (%)</i>	<i>G3 (%)</i>	<i>G4 (%)</i>
<i>Neutropenia</i>	20	48	6	4	1	0
<i>Leukopenia</i>	24	19	0	3	0	0
<i>Fatigue</i>	36	2	2	20	1	0
<i>Anemia</i>	29	5	1	5	1	0
<i>Nausea</i>	23	2	0	12	1	0
<i>Arthralgia</i>	22	1	0	13	3	0
<i>Alopecia</i>	22	0	0	3	0	0
<i>Diarrhea</i>	17	4	0	10	0	0
<i>Hot flush</i>	20	0	0	12	0	0
<i>Thrombocytopenia</i>	14	2	0	1	0	0
<i>Decreased appetite</i>	14	1	0	6	0	0
<i>Dyspnea</i>	13	2	0	6	1	0
<i>Nasopharyngitis</i>	16	0	0	10	0	0
<i>Back pain</i>	13	0	1	14	1	0

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AT=As Treated Population



# Conclusions

## neoadjuvant/adjuvant therapies

- Neoadjuvant CT is a safe option with a similar outcome as adjuvant therapy, 15% increased rate of BCS.
- Switch strategy in non-responding pts - improved DFS
- Adjuvant chemotherapy reduces the risk to die by the relative same magnitude (independent age, Er, stage, grade etc.)
- The risk to die in a middle age woman with an Er pos disease will be reduced by 50% by CT followed by five years of tamoxifen

# Conclusions

## neoadjuvant/adjuvant therapies

- Anthracyclines should still be the cornerstone in the adjuvant/neoadjuvant CT regimens
- Taxanes add 1-3% absolute survival gain to anthracycline based regimens

# Conclusions

## neoadjuvant/adjuvant therapies

- “All” patients with Er+ positive disease should be offered adjuvant endocrine therapy, for at least five years
- AIs are slight better than tamoxifen, 4% reduction in recurrence rate, so far no OAS advantage
- 10 years of adjuvant endocrine (tamoxifen) therapy provides an additional improvement in breast cancer mortality ‘
- 5 years of tamoxifen plus some further years of an AI, statistically significantly survival improvement for pts with node positive disease

# Conclusions

## neoadjuvant/adjuvant therapies

- Double HER-2 blockade results in a doubled chance for pCR, lapatinib has more side-effects
- One year of duration of trastuzumab is presently the best proven option, two years not better
- Single agent lapatinib results in an inferior outcome

# Conclusions Metastatic disease-chemotherapy

- Effective therapies provide QOL improvement
- The tumour characteristics seem to change during progression
- Endocrine therapies cornerstone in the management, *patient selection*
- CT should be offered to pts with rec negative disease and those with biologically aggressive disease
- Chemotherapy prolongs survival and improve QoL
- How many lines? Evidence?
- Please use with sense- Responding on previous lines-offer the pts very many lines of therapy
- In pts with HER-2 pos disease: Maintain HER-2 blockade, change cytostatics, and use the different HER-2 agents
- mTOR and CDK 4/6 are promising drug targets

**Thank  
you**



# All the patients

Karolinska University Hospital

Karolinska Institutet

CCK

**Translational research  
Tumour stroma**  
Professor Arne Östman  
Professor Göran Landberg,  
Manchester  
Professor Lorenz Poellinger  
Assoc Prof Kristian Pietras, Lund

**Preclinical research**  
**Angiogenesis**  
Professor Christer Betsholtz  
**Notch signalling**  
Professor Urban Lendahl  
**Hedgehog signalling**  
Rune Toftgaard  
**P21 activated kinase 4 (PAK 4)**  
Professor Staffan Strömblad  
Single cell analyses – sequencing  
Una Källkvist  
Sten Linnarsson

**CETSA project**  
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Professor Yihai Cao  
Professor David Lane  
Dr Daniel Molina-Martinez

**Surgery**  
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epidemiology**

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Emilia Andersson

**Swedish Breast Cancer Group,  
SBG, GBG, ABCSG, EORTC**

**BRECT, TARGET, StratCan**

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**Planned DCIS project**

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Prof. Bengt Jönsson

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GEICAM, GBG, ABCSG**  
Professor Frasco Symmens  
Professor Miguel Martin  
Professors Gunther  
von Minckwitz & Sibylle Loibl  
Professor Michael Gnant

**Psychosocial oncology**  
Professor Yvonne Brandberg  
Yvonne Wengström

The Swedish Cancer  
Society, the Stockholm  
Cancer Society, the  
King Gustav V jubilee  
fund, ALF Foundation,  
Linné Foundation,  
Swedish Research  
Council,  
Märit & Hans Rausing  
Foundation, KI- AZ63

JB 7-11-2014